

¹2 Modulation in interannual sea ice patterns in the Southern Ocean

³ in association with large-scale atmospheric mode shift

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⁶ [1] We verified that the synchronous propagations of the spatial patterns of sea ice

7 concentration (SIC) of wave number 2 around the Antarctic occurred only for the period

8 1984 to 1994. An empirical orthogonal function (EOF) analysis of satellite data for

9 1979–2003 objectively demonstrates that the spatial pattern of SIC propagated

10 eastward only in 1984–1994; in other years, it did not. Our results show that

interannual variations in SIC patterns are associated with differences in the dominant

12 large-scale atmospheric patterns. In nonpropagating years, variance of the tropospheric

13 Antarctic oscillation (AAO) predominated. However, in propagating years, the AAO

14 variance was subdominant to that of the Pacific South American (PSA) teleconnection

¹⁵ pattern having a 4 year period. Such periodic PSA enables the SIC anomalies to

¹⁶ propagate eastward with a periodically reinforced dipole pattern. The shift of large-

scale atmospheric variability is one possible cause of the modulation in the SIC pattern.

18 The switch of the atmospheric EOF leading mode from the PSA pattern to the AAO in

19 the mid-1990s corresponded to the modulation in the SIC pattern and supports the

20 presence of the atmospheric climate shifts.

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24 1. Introduction

[2] Previous studies have presented two views of circum-25Antarctic interannual climatic variation. One view involves 2627the Antarctic circumpolar wave (ACW) and eastward synchronous propagation of the spatial patterns of sea ice extent, 28sea surface temperature (SST), sea level pressure (SLP), and 29meridional wind stress anomalies with wave number 2 30around the Antarctic [e.g., White and Peterson, 1996; Motoi 3132 et al., 1998]. The atmospheric wave is also characterized by 33 eastward propagation. Additionally sea surface height (SSH) 34anomalies in the Southern Ocean synchronously propagate 35 eastward with the SST in association with the ACW, and the ocean plays an important role in creating and maintaining the 36ACW [Jacobs and Mitchell, 1996]. Moreover, maintenance 37 38 of the ACW involves coupling between ocean and atmosphere. [Qiu and Jin, 1997; White et al., 1998]. It is a 39 remarkable example of self-organization between the global 40 ocean and the atmosphere. In contrast, the other view 41 42proposes that the circum-Antarctic climate is governed by a 43geographically phase-locked quasi-stationary wave in sea 44 ice, SST, and surface air temperature, which is linked to the

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El Niño-Southern Oscillation (ENSO) variability [e.g., 45 Yuan and Martinson, 2000, 2001; Kwok and Comiso, 46 2002, Kidson and Renwick, 2002; Renwick, 2002; Yuan, 47 2004]. This quasi-stationary wave is known as the Antarctic 48 Dipole (ADP) [Yuan and Martinson, 2000, 2001]. It is 49 characterized by an out-of-phase relationship between 50 these anomalies in the central/eastern Pacific and Atlantic 51 sectors of the Antarctic. The dipole consists of a strong 52 standing mode with weak eastward propagation. The Ant- 53 arctic sea ice distribution is formed and maintained by an 54 atmospheric stationary or standing wave train that ampli- 55 fies in association with atmospheric blocking over the 56 South Pacific [Renwick and Revell, 1999]. The stationary 57 wave train associated with the blocking is caused by the 58 propagation of atmospheric Rossby waves activated by 59 ENSO [Karoly, 1989; Trenberth et al., 1998; Kidson, 60 1999; Mo, 2000; Cai and Watterson, 2002; Kidson and 61 Renwick, 2002] and is named the Pacific South American 62 (PSA) teleconnection pattern [Mo and Ghil, 1987]. Cai and 63 Watterson [2002] also demonstrated that ENSO forcing 64 amplifies the PSA along with amplification by internal 65 atmospheric dynamics. 66

[3] *Park et al.* [2004] showed that most of the Antarctic 67 interannual variability can be explained by a geographically 68 phase-locked standing wave train linked to tropical ENSO 69 episodes using a Fourier decomposition into stationary and 70 propagating components of several oceanic and atmospheric 71 variables (i.e., SST, SSH, and SLP). Although their work 72 involved the two views of circum-Antarctic interannual 73 climatic variation, they did not include sea ice variability. 74 To harmonize these different views and clarify the circum-75

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Antarctic interannual climatic variations, sea ice studies, especially relating to its interannual variability, are helpful because sea ice functions as an interface between the ocean and the atmosphere and is influenced by both. Present study will demonstrate the spatial and temporal variations of sea ice using newly available passive microwave satellite data for 1979–2003.

[4] Although numerous previous studies have described 83 sea ice variability in the Southern Ocean in association with 84 atmospheric surface conditions such as the SLP and wind 85 stresses, many have not discussed the relationship between 86 sea ice and the whole troposphere. Bonekamp et al. [1999] 87 and Connolley [2002], who demonstrated that propagation 88 of the SLP anomaly, i.e., ACW signal, is only present in the 89 period of 1985-1994, have also made a remarkable contri-90 bution in trying to harmonize the different views. However, 91their atmospheric analysis did not include the whole tropo-92sphere, but rather was restricted to air in direct contact with 93the ocean. The action centers of interannual atmospheric 94 variability are usually located in the middle or upper tropo-95 sphere, rather than in the lower troposphere [Thompson and 96 Wallace, 2000]. An example of tropospheric variation is the 97 Southern Hemisphere annular mode or the Antarctic oscilla-98 tion (SAM/AAO) [Thompson and Wallace, 2000] in which 99 the AAO connects even to the stratosphere. The PSA pattern 100 appears in the middle or upper troposphere, but the center of 101 action of sea ice is in the lower troposphere. Therefore a 102better understanding of long-term whole tropospheric circu-103104lation in association with our long-term sea ice analysis 105should help clarify the Antarctic climate system. The knowledge about Antarctic climate system can go one step further 106by looking at both of sea ice and whole tropospheric 107 circulation. 108

[5] We will address a new theory/hypothesis of circum-109Antarctic interannual climatic variations by focusing on sea 110 ice variations as revealed by statistical analyses of long-term, 111satellite-derived sea ice data and atmospheric reanalysis data. 112Bonekamp et al. [1999] have pointed out the possibility of a 113 regime shift in sea ice variability in the Southern Ocean, but 114its cause has not yet been understood. In this study, we will 115demonstrate that shift of atmospheric variation controls 116whether the sea ice propagates eastward or not. In other 117 words, the replacement of dominant atmospheric patterns 118 119 modulates the sea ice variability. 120

[6] Data sets and the statistical methods used in this study are described in sections 2 and 3, respectively. Results are described in section 4, and discussion and concluding remarks are in sections 5 and 6, respectively.

124 **2. Data**

[7] Monthly sea ice concentration (SIC) data provided by 125the Oceans and Ice Branch, Laboratory for Hydrospheric 126Processes at NASA Goddard Space Flight Center (GSFC, 127 128NASA team algorithm) were used to investigate the interannual variability of sea ice in the Southern Ocean. The SIC 129data are derived from the Nimbus 7 Scanning Multichannel 130Microwave Radiometer (SMMR) and the Defense Meteo-131rological Satellite Program (DMSP)-F8, -F11, and -F13 132Special Sensor Microwave/Imagers (SSMIs). These two sets 133 of satellite data were combined by Cavalieri et al. [1996. 1341997], and the combined data set is available for the period of 135

1979–2003 with a spatial resolution of 25×25 km. This data 136 set is available from http://nsidc.org/data/nsidc-0051.html, 137 and includes gridded daily and monthly averaged sea ice 138 concentrations for both the north and the south polar regions. 139 Two types of data are provided, final data and preliminary 140 data. Final data are produced at GSFC about once per year. 141 Preliminary data are produced at The National Snow and Ice 142 Data Center (NSIDC) approximately every three months and 143 include roughly the most recent three to twelve months of 144 processed data. The data we used is the final data, which 145 includes additional quality control, including temporal inter- 146 polation of large data gaps in the daily data. Additionally 147 particular care is needed to interpret the sea ice concentra- 148 tions during summer when the melt is present and in regions 149 where new sea ice makes up a substantial part of the sea ice 150 cover. Some residual errors remain due to weather effects, 151 mixing of ocean and land area in the sensor field of view, and 152 the differences of sensors. It is recommended that sea ice 153 extent and area computed from daily maps of sea ice 154 concentrations be used to compute monthly averages of those 155 parameters. In this paper, we use monthly means on winter 156 seasons, and so this error will not impact our analyses. 157

[8] We also used monthly optimal interpolation sea 158 surface temperature (OISST, hereafter SST) data compiled 159 by the National Oceanic and Atmospheric Administration 160 (NOAA) on a 1.0×1.0 degree regular latitude-longitude 161 global grid [Reynolds and Smith, 1994] and daily mean 162 atmospheric reanalysis data provided by the National Centers 163 for Environmental Prediction/National Centers for Atmo- 164 spheric Research (NCEP/NCAR) [Kalnay et al., 1996] on a 165 2.5×2.5 degree regular latitude–longitude global grid. The 166 analysis period for all of the data sets was 1979 through 2003. 167 The NCEP/NCAR reanalysis data set, which is referred to as 168 the NCEP1 data, was obtained from the National Weather 169 Service Climate Prediction Center reanalysis project (avail- 170 able online at http://www.ncep.noaa.gov/cdc/reanalysis/ 171 reanalysis.shtml). We use 10m wind data in order to calculate 172 the curl of the wind stress along with geopotential height and 173 temperature data at standard levels. For detailed calculations, 174 see section 3. 175

[9] Bromwich and Fogt [2004] concluded that, for austral 176 nonsummer climate studies across Antarctica and the South- 177 ern Ocean, neither ECMWF (European Centre for Medium- 178 Range Weather Forecasts) 40 Year Re-analysis (ERA-40) 179 data nor NCEP1 data are reliable prior to the modern satellite 180 era (before 1979), because the frequency of ship-based 181 observations in coastal Antarctica, which help to constrain 182 the reanalysis, decreases during winter. Also, *Tennant* [2004] 183 pointed out that NCEP1 has areas of sparse data coverage in 184 the South Pacific and South Atlantic Oceans, and concluded 185 that NCEP1 is not reliable during any season prior to 1979 in 186 the Southern Hemisphere. Bromwich et al. [2007] presented a 187 wide review of recent knowledge regarding the status of the 188 major global reanalyses in the polar regions. They concluded 189 that the beginning of the modern satellite era in 1979, when 190 new quantities of data were assimilated for the first time, 191 created a sudden adjustment in the Southern Ocean and 192 Antarctica. Additionally, there was a problem in assimilating 193 bogus surface pressure observations in NCEP1 in Southern 194 Hemisphere, known as the PAOBS problem (see online at 195 http://www.cpc.ncep.noaa.gov/products/wesley/paobs/ 196 paobs.html). This error affects 1979-1992 (14years) in the 197

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Figure 1. Spatial patterns of the (a) first and (b) second modes of JASO mean SIC anomalies around the Antarctic for 1979-2003. (c) Corresponding time series of the first (black line) and second (green line) modes. The indices are standardized. The shading in Figures 1a and 1b indicates linear regression coefficients of the SIC with the time series of the first and second mode, respectively (unit is %). The gradation of the shading changes in increments of 5%, but absolute values <1% are ignored. The contours indicate 95% statistical confidence based on *t* tests.

40°S-60°S band on daily to weekly timescales. In this paper,
we use monthly means on pressure levels, and so this error
will not impact our analyses. As discussed above regarding
the uncertainty of reanalysis data sets before satellite era, we
mainly use the reanalysis data sets from the satellite era
(1979). Therefore, this problem will not impact our study.

204 **3. Method**

[10] Wintertime seasonal averages of the SIC, SST, and 205atmospheric data were mainly used, with winter defined as 206July, August, September, and October (JASO) for SIC, and 207July, August, and September (JAS) for SST and atmospheric 208data. As there may be some lag in the response of sea ice 209with large inertia to winter atmospheric variation, we have 210included October in the winter season only for the SIC data 211analysis. An empirical orthogonal function (EOF) analysis 212was applied to the covariance matrix of the winter-averaged 213SIC anomalies. The spatial coverage of the SIC-EOF 214analysis was the entire area of sea ice around the Antarctic 215(from approximately 40° S to 90° S). The JASO mean data 216are used for the SIC-EOF calculation. We also applied an 217atmospheric EOF analysis to mass-weighted vertical aver-218219age geopotential height anomaly data from 1000 to 300 hPa for the extraction of the dominant barotropic components. 220Note that there was not much difference between the result 221of analysis for the vertical averaged data and for the 500 hPa 222data. Additionally, geopotential height data were weighted 223by area using the square root of the cosine of latitude to 224ensure equal area weighting for the covariance matrix. The 225atmospheric EOF analysis covered an area from 20 degrees S 226

to 90 degrees S so that a leading teleconnection pattern in the 227 extratropic atmospheric circulation could be identified. 228

[11] Temperature advection and the curl of wind stress 229 were calculated for each day using the daily NCEP1 data. 230 Data from 925 hPa were used to calculate temperature 231 advection, and 10 m wind data were used to calculate wind 232 stress. JAS and climatological means were then calculated. 233

4. Results

4.1. Sea Ice Variability

[12] Figure 1 shows the spatial SIC patterns of the first 236 and second modes of the EOF (referred to as SIC-EOF1 and 237 SIC-EOF2, respectively) and their PC scores. The analysis 238 covered the period of 1979-2003. The SIC-EOF1 exhibits 239 positive anomalies in the western Atlantic and western 240 Pacific sectors, but negative anomalies in the Amundsen 241 Sea, Ross Sea, the western Indian Ocean, and eastern Indian 242 Ocean sectors. This spatial pattern looks like the Antarctic 243 Dipole (ADP) [Yuan and Martinson, 2000, 2001; Yuan, 244 2004]. The SIC-EOF2 shows positive anomalies in the 245 eastern Atlantic sector and central Pacific sector, but negative 246 anomalies in the Bellingshausen Sea and the eastern Indian 247 Ocean sector. The spatial patterns of both EOFs have wave 248 number 2 and variances of 25.8% and 14.3%, respectively. 249 SIC-EOF2 resembles SIC-EOF1, with the spatial pattern of 250 SIC-EOF2 appearing to shift eastward by about 45 degrees 251 relative to that of SIC-EOF1. The interannual variation of the 252 PC1 score also shows a striking resemblance to that of the 253 PC2 score, but with a roughly 1 year lag, especially during 254 1984 to 1994. These results indicate that the EOF decom- 255



Figure 2. Schematic map showing the relationship between the indices (PC1 and 2 scores) of the two leading SIC-EOFs and the phase, θ . Counterclockwise rotation of θ with time defines the increment in θ . Thus, in the period in which θ increases (decreases), the SIC anomalies propagate eastward (westward).

posed an easterly propagating mode into the two standing modes. To confirm this, we examined the lag correlation of the PC scores. The simultaneous correlation coefficient is, by definition, zero. The correlation with a 1 year lag (lead) results in a coefficient of 0.57 (-0.48), which exceeds the 99% significance level based on t tests. The correlation coefficient for a 2 year lag (lead) is -0.14 (-0.06).

[13] These results indicate that the year of the prominent 263EOF2 spatial pattern tends to occur 1 year after the year of 264the prominent EOF1 spatial pattern. One year after the year 265of prominent EOF2, the EOF1 spatial pattern with negative 266sign occurs. The same pattern with the negative sign then 267repeats, with the prominent EOF1 spatial pattern reoccurring 2684 years later. Therefore the sea ice spatial pattern with wave 269number 2 tends to propagate eastward in a 4 year period. 270

[14] The PC scores are by definition orthogonal. To verify the rotation of the SIC spatial pattern, viewing the pattern from the polar coordinates is more advantageous than from the Cartesian coordinates (Figure 2). We viewed the two components based on their amplitude and the phase of interannual SIC variability. We defined the phase, θ , as

phase
$$\theta = \tan^{-1} \left(\frac{PC2score}{PC1score} \right)$$

278 When the phase θ rotated counterclockwise in the field of 279 Figure 2, i.e., increases with time, the SIC propagates 280 eastward.

[15] Figure 3 shows the time evolution of the phase θ . 281Clearly, θ constantly increased with time only between 1984 282and 1994, indicating that the SIC anomalies propagated 283eastward continuously in this period. The average slope of 284285that increment signifies a rotation cycle of about 4 years. However, for the other years, θ did not continuously increase 286with time. Thus, the SIC anomalies did not propagate east-287 ward from 1979 to 1984 or from 1994 to 2003. Figure 3 288demonstrates that the spatial pattern of SIC anomalies with 289wave number 2 propagated eastward only from 1984 to 1994. 290The ADP is composed of a standing mode with weak east-291ward propagation [Yuan and Martinson, 2000, 2001; Yuan, 292

2004]. Our results show that the dipole structure is present, 293 but also clearly show that it propagates eastward in the 1984 - 2941994 period, meaning that during this period it is more 295 reasonable to regard the sea ice variation as a visualization 296 of a propagation signature by the Antarctic circumpolar wave 297 (ACW) than to regard it as a visualization of a standing 298 signature of the ADP. The period is the same as that shown by 299 White and Peterson [1996]. However, the signal is clear only 300 in the west Antarctic. Therefore, strictly speaking, this signal 301 is not exactly the same as the ACW. Also, our results are 302 consistent with Connolley [2002], in which the ACW was 303 evident only from 1985 to 1994 using data analysis from 304 1968 to 1999. However, he did not determine why the ACW 305 was prominent only in that period, but simply speculated that 306 it may be due to an external forcing, such as ENSO, or 307 intrinsic atmospheric variation. We will focus on discovering 308 why the SIC anomaly only propagates eastward in the years 309 1984-1994. 310

4.2. Atmospheric Variability

[16] Because the spatial SIC pattern during 1984–1994 312 differed from that in other years, the atmospheric variations 313 that forced the sea ice in those years may also have differed. 314 In this subsection, we focus on differences in large-scale 315 atmospheric patterns in association with the modulation in 316 sea ice variation. However, first, we describe the overall 317 dominant atmospheric variations over and around the 318 Antarctic from 1979 to 2003. Figure 4 shows the first 319 and second EOFs of atmospheric spatial patterns and their 320 corresponding time series. Hereafter, the spatial pattern of 321 the atmospheric first mode and its time series are referred 322 to as the ATM EOF1 and ATM EOF1 index (PC1 scores), 323 respectively, and those of the second mode as the ATM 324 EOF2 and ATM EOF2 index (PC2 scores). The variances 325 contributed by ATM EOF1 and ATM EOF2 are 29.7% and 326 18.6%, respectively. We used the whole troposphere aver- 327 age for the analysis. This can filter out the variability that 328 appears only within the lower troposphere. The spatial 329 pattern of the first mode is similar to that of the AAO 330 [Gong and Wang, 1999]/SAM [Thompson and Wallace, 331] 2000], whereas the second mode exhibits positive anoma- 332 lies in the western Atlantic sector and negative anomalies in 333 the central Pacific sector. The second mode is similar to that 334 shown by Karoly [1989], Cai and Baines [2001], and Cai 335



Figure 3. Year-to-year evolution of the phase, θ . Horizontal and vertical axes show the year and the phase, respectively (phase unit = degrees). To illustrate the rotational status schematically, even if the phase value exceeds 360 degrees, we did not reset the phase to zero.



Figure 4. The spatial patterns of (a) ATM EOF1 and (b) ATM EOF2 for the JAS mean vertically integrated geopotential height anomalies from 20° S to 90° S for 1979-2003. See the text for details on the calculation method. (c) Corresponding time series of the first (black line) and second (green line) modes. The values are standardized. Shading in Figures 4a and 4b indicates the linear regression coefficients for the mass-weighted, vertically integrated geopotential height field with the time series of the first and second modes, respectively. The unit for the shading is meters. The gradation of the shading changes every 10 m, but absolute values <5 m are ignored. The contours indicate 95% statistical confidence based on *t* tests.

and Watterson [2002]. In these studies, the largest variabil-336 ity with respect to the 200 hPa [Karoly, 1989] and 500 hPa 337 geopotential height was in the Pacific sector, with the 338 second largest peak in the western Atlantic sector; this 339 pattern reflects a geographically phase-locked standing 340 wave. Significant action centers of our ATM EOF2 in the 341 342 western Atlantic sector, the Bellingshausen-Amundsen 343 Seas, and the east South Pacific, i.e., to the south of New Zealand, agree with those described in these articles. This is 344the so-called PSA pattern, which is activated by tropical 345 anomalous heating [Hoskins and Karoly, 1981; Karoly, 3461989]. Mo and Ghil [1987] discovered and defined the 347 PSA pattern for the first time. They define the second and 348 third EOFs of 500 hPa geopotential height anomaly as the 349PSA pattern. Their PSA pattern has the strongest signature 350centered on the Antarctic Peninsula. Our third EOF (figure 351is not shown) does not have such a feature as theirs, and the 352 corresponding contribution is as small as 11.9%. We 353 therefore adopt our EOF2 as the PSA pattern. As shown 354in Figure 4b, the strongest signature can be seen near the 355 356 Antarctic Peninsula. The amplitude of the ATM EOF1 index (PC1 scores) for 1984-1994 appears to be smaller 357 than that for other periods, whereas the amplitude of the 358 ATM EOF2 index (PC2 scores) for 1984–1994 appears 359larger. Table 1 also suggests these changes in variance. 360These results indicate the change/shift of large-scale atmo-361 spheric variations. 362

4.3. Atmospheric Variation From 1984 to 1994

[17] If atmospheric factors cause the modulation in SIC 364 variation, there must be some signatures that differentiate 365 the SIC variation in large-scale atmospheric patterns. To 366 identify the atmospheric patterns that modulate the SIC, we 367 separately calculated atmospheric first and second EOFs for 368 the period 1984–1994 and for other years. We first show the 369 atmospheric variation, i.e., the atmospheric geopotential 370 height field, only in 1984–1994, based on the same atmo- 371 spheric EOF analysis as described above. Figure 5 shows the 372 spatial patterns of the first and second modes and their 373 corresponding time series. The first and second modes 374 contribute 28.7% and 25.0% of the variance, respectively. 375 The time variation of the first mode is obviously cyclic with 376 an approximately 4 year period. Further, the wavy structure 377 of the first mode spatial pattern is quite similar to that of the 378

Table 1. Variances of the Time Series of Atmospheric EOFs in the t1.1Subperiods From 1984 Through 1994 and in Other Subperiods^a

Index	1984-1994	1979-1983 and 1995-2003	t1.2
ATM-EOF1	0.553	1.363	t1.3
ATM-EOF2	1.311	0.914	t1.4

^aATM-EOF1 and ATM-EOF2 represent the first and second modes, respectively, of the atmospheric EOF. The SIC anomalies were characterized by eastward propagation in 1984–1994. In the other years, the SIC anomalies did not have any features of eastward propagation. t1.5



Figure 5. The same as Figure 4, except for the EOF calculation only for the period of 1984–1994.

second mode for the whole period (Figure 4b). The correla-379 tion coefficient between the first mode time series in this 380 subperiod and the second mode time series in the whole 381period is 0.90. In this subperiod, the second mode is not 382 similar to the first mode calculated for the whole period. 383 Thus, in this subperiod, the PSA pattern was dominant as the 384 first mode. The approximately 4 year cycle of the first mode, 385i.e., the PSA pattern, coincides with the period of the 386 eastward propagation of the SIC. This coincidence suggests 387 that the atmospheric shift should change/modulate the pattern 388 of SIC variation. Hereafter, we define the normalized time 389 series of the first-mode EOF for 1984-1994 as the PSA index 390 (PC1 scores) and investigate the influence of the PSA on 391 392eastward SIC propagation. Note that we normalize the time 393 series divided by the standard deviation and the definition of the positive PSA pattern in this paper is the pattern like 394Figure 5a. Although the time series of the PSA index (PC1 395scores) is 10 years long, an approximately 4 year cycle is 396 resolvable. Therefore, the variance of a cyclic PSA pattern 397398 with an approximately 4 year cycle was predominant in the atmosphere in the period 1984–1994. 399

400 **4.4.** Atmospheric Variation From 1979 to 1983 and 401 1995 to 2003

[18] Here, we focus on atmospheric variations in the sub-402periods 1979-1983 and 1995-2003, when SIC anomalies 403did not propagate eastward, using the same atmospheric 404 405EOF analysis. The spatial patterns of the first mode and the 406second mode and their corresponding time series are shown in Figure 6. The spatial patterns of both are quite similar to 407those of the first and second modes for the whole period 408shown in Figure 4. These modes again exhibit the AAO 409pattern and the PSA pattern, respectively. The variances 410 contributed by the leading and second modes are 38.9% 411 and 17.9%, respectively. The first mode (i.e., the AAO) is 412

more predominant than that for the whole period. In addi- 413 tion, the spatial pattern of the second mode in Figure 6b is 414 guite similar to that of the first mode for the period 1984 - 4151994, shown in Figure 5a, which exhibits the PSA pattern. 416 The variances for Figures 6b and 5a are 17.9% and 28.1% 417 respectively. This difference indicates that the PSA was 418 damped in the periods 1979-1983 and 1995-2003 com- 419 pared to the period 1984-1994. It is possible that the 420 leading modes shown in Figure 6 are not real as disjointed 421 time series were combined for use in an EOF analysis. To 422 confirm the modes in Figure 6 are real, we performed the 423 EOF analysis on the individual time periods i.e., 1979-424 1983 and 1995–2003 separately (figures not shown). The 425 spatial patterns of these individual EOF analyses show the 426 AAO and PSA patterns as first and second modes respec- 427 tively, corresponding to Figures 4 and 6. The time series 428 of the individual EOFs were also similar to those in 429 Figures 4 and 6 for both periods 1979 to 1983 and 1995 430 to 2003. Therefore, we consider the EOF results shown in 431 Figure 6 to be valid. 432 433

Discussion

434

[19] We determined a number of characteristics of largescale atmospheric variability associated with sea ice variability. The spatial pattern of sea ice with wave number 2 437 propagated eastward only in 1984–1994. In other years, no 438 significant eastward propagating features were signified. 439 Differences in large-scale dominant atmospheric patterns 440 were also uncovered. In the nonpropagating years, the AAO 441 variance predominated. In years of eastward SIC propaga-442 tion, the variance of a cyclic PSA pattern with an approximately 4 year cycle was predominant in the atmosphere.

[20] Below, we examine the cause of the SIC modulation. 445 We first describe the external forcing of the PSA pattern that 446

5.

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Figure 6. The same as Figure 4, except for the EOF calculation only for 1979–1983 and 1995–2003. The variances contributed by the EOF1 and the EOF2 are 38.9% and 17.9%, respectively.

causes the eastward propagation of the SIC. We then discussthe shift of atmospheric variation that determines the mod-ulation in SIC variation.

450 5.1. Propagation of the SIC and Atmospheric PSA451 Pattern

[21] Figure 7 shows simultaneous and lagged regression
patterns of the SIC with the atmospheric PSA index (PC1
scores); each of the simultaneous, 1 year, and 2 year lagged
SIC patterns has a wave number of 2. Figure 7a shows an area
of large negative (positive) variability over the central Pacific

sector (the western Atlantic sector). This spatial pattern is 457 quite similar to that of SIC-EOF1. In fact, the PSA index 458 (PC1 scores) and SIC-EOF1 PC score have a correlation 459 coefficient of 0.88 for the period of 1984–1994. The PSA 460 index (PC1 scores) is the time series of the first EOF executed 461 only in 1984–1994. Also, Figure 7 clearly shows that the 462 areas of large positive and negative regression propagated 463 eastward year by year. Therefore, the PSA pattern somehow 464 forced SIC anomalies to propagate eastward. 465

[22] Figure 8 shows the relation of atmospheric dynamic 466 and thermodynamic forcing with the PSA index (PC1 467



Figure 7. The SIC anomaly field linearly regressed on the standardized PSA index (PC1 scores) of 1984–1994. (a) Simultaneous regressed pattern (1984–1994), (b) 1 year lagged regressed pattern (1985–1995), and (c) 2 year lagged regressed pattern (1986–1996). Gradation of light, moderate, and heavy cold (warm) color shading indicates positive (negative) correlations that respectively exceed 90%, 95%, and 99% statistical significance based on *t* tests. A positive correlation signifies heavier sea ice than normal. Contours indicate regression coefficients. The unit of the regression coefficient is %.



Figure 8. The same as Figure 7, except for (top) the horizontal temperature advection field at 925 hPa, (middle) the curl τ field, and (bottom) the SST anomaly field. The warm color indicates favorable conditions for reductions in sea ice. The units of the regressions at the top, middle, and bottom are, respectively, 10^6 K s⁻¹, 10^9 N m⁻³, and K.

468 scores). Simultaneous patterns of temperature advection (Figure 8, top), curl τ (Figure 8, middle), and SST (Figure 8, 469bottom) commonly show a pair of significant warm and 470cold anomalies in the central Pacific and Atlantic sectors. 471The warm (cold) area, which signifies anomalous warm 472(cold) temperature advection, convergence (divergence) of 473sea ice, and anomalous warm (cold) SST, is favorable for 474the decrease (increase) in sea ice. The significant areas of 475

the warm–cold pairs (Figure 8a) agree well with those of 476 the SIC anomalies (Figure 7a). Thus, Figures 7a and 8a 477 indicate that when the index of the PSA pattern (PC1 scores) 478 is large and positive, atmospheric dynamic and thermody- 479 namic external forcing by the PSA pattern amplify the SIC 480 contrast between the central Pacific sector and the Atlantic 481 sector. This atmospheric forcing is favorable for the forma- 482 tion of a dipole of SIC in these sectors. Therefore, the PSA 483



Figure 9. (top) Hovmöller diagram of JASO mean SIC anomalies averaged from 55° S to 70° S around the Antarctic for 1979–2003 (unit is %). (bottom) The meridional mean SIC anomaly averaged from 55° S to 70° S linearly regressed on the standardized ATM-EOF2 index (PC2 scores) of 1979–2003. Simultaneous regressed SIC with respect to the longitude (1979–2003) (black line), 1 year lagged regressed SIC (1980–2002) (green line), and 2 year lagged regressed SIC (1981–2001) (yellow line). Blue dash-dotted lines in the bottom plot indicate 90%, 95%, and 99% statistical significance based on *t* tests.

pattern excites the dipole pattern of the SIC in these areas. 484 The atmospheric forcing 2 years later is in reverse because 485the index of the PSA pattern (PC1 scores) has a 4 year cycle 486(Figures 7c and 8c). In other words, the atmosphere peri-487 odically forces the formation of an SIC dipole, i.e., Antarc-488 tic Dipole [Yuan and Martinson, 2000, 2001], every other 489year with a reversed phase. The atmospheric forcing mostly 490vanishes 1 year after the year having a large and positive 491PSA index (PC1 scores, Figure 8b). However, a persistent 492signature can be seen in the SST field, with positive 493494 anomalies in an area approximately 45 degrees eastward from the significant dipole area of the simultaneous SST 495field. This suggests the presence of eastward movement of 496 the SST signature. Also, the positive and negative SIC 497patterns located over the western Atlantic and central 498Pacific sector (Figure 7a) synchronously move eastward 4991 year later (Figure 7b). This synchronous SIC and SST 500propagation was previously discussed by Martinson 501

[1993] and Gloersen and White [2001]. They demonstrated 502 that the propagating wave of the sea ice variability is 503 carried from one winter to the next winter by the thermal 504 inertia of upper water neighboring the sea ice. The 505 explanation of atmospheric dynamic and thermodynamic 506 forcing with the simultaneous PSA index (PC1 scores) is 507 consistent with Raphael [2004, 2007], who examined the 508 relationship between the pattern of an atmospheric zonal 509 wave number three and Antarctic sea ice by using an 510 index of the zonal wave three. The zonal wave three pos- 511 sibly forces an alternating pattern of equatorward (colder) 512 and poleward (warmer) flow, which influences sea ice 513 growth/expansion and decay/retrogression respectively. 514 The basic mechanism underlying the relationship between 515 the atmospheric circulation pattern and sea ice variability 516 via atmospheric dynamic and thermodynamic forcing in 517 our results is consistent with these papers. Raphael [2004, 518 2007], however, did not describe the relationship between 519 the atmospheric zonal wave three and the PSA pattern. 520 The spatial pattern of their atmospheric zonal wave three 521 is different from our PSA pattern deviating/rotating by 522 about 45° with respect to their zonal wave three. 523

[23] Combining the present results with those of previous 524 studies, we propose the following scenario of eastward 525 rotation of the SIC. The PSA pattern excites the negative 526 and positive dipole structure of the SIC in the central Pacific 527 and western Atlantic sectors in a year with a positive and 528 large PSA index (PC1 scores). The dipole signature is 529 retained in the ocean by large thermal inertia, persisting 530 until the next year. In the following winter, this memorized 531 signature moves eastward in association with the eastward 532 oceanic propagation, as reported by Martinson [1993] and 533 Gloersen and White [2001]. Two years later, the same 534 atmospheric forcing as in the first year, but with reversed 535 phase, again excites the dipole of the SIC with reversed 536 phase. The memorized signature also moves further east- 537 ward toward the opposite side of the Antarctic Peninsula. 538 Three years later, the same oceanic propagation as in the 539 second year, but having the reversed phase, occurs. In the 540 fourth year, the same atmospheric forcing as in the first year 541 once again excites the dipole of the SIC. This 4 year cycle 542 of atmospheric forcing is probably the same as the period of 543 the oceanic signature that rounds the Antarctic. Therefore, 544 this periodic atmospheric forcing excites the dipole, and the 545 ocean makes the dipole signature propagate eastward. In 546 other words, the atmosphere plays a role in creating the 547 dipole, and the ocean plays a role as a carrier of the dipole. 548 The periodicity of this atmospheric forcing is consistent 549 with Mo [2000] and Rasmusson et al. [1990], who showed 550 that the PSA has a 48 month cycle that is driven by the 551 ENSO. Regarding the Antarctic dipole pattern of sea ice 552 variability, Holland et al. [2005] focused on the standing 553 wave pattern in sea ice anomaly associated with simulated 554 Antarctic dipole variability and investigated the mecha- 555 nisms in detail using a climate coupled model. They showed 556 that the Antarctic dipole pattern of sea ice variability was 557 forced by a combination of both thermodynamics (e.g., ice/ 558 ocean surface heat flux convergence analysis) and dynam- 559 ical processes. Their result is consistent with ours, in 560 particular, with the role of the anomalously high SLP in 561 Figures 4b, 5a, and 6b, in the Amundsen-Bellingshausen 562 Sea. 563



Figure 10. Variances of the time series of atmospheric EOFs on each 11 years between 1958 and 2007. ATM-EOF1 (AAO) and ATM-EOF2 (PSA) denote the variances of the first and second modes, respectively, of the atmospheric EOF in the period from 1958 through 2007.

[24] As shown in Figures 7 and 8, the eastward propaga-564tion seems to only be visible over the western part of the 565566Southern ocean. To confirm this, we show that the Hovmöller (longitude-time) diagram of JASO mean SIC anomalies 567averaged from 55° S to 70° S around the Antarctic for 568 1979-2003 (Figure 9, top). Figure 9 (top) clearly demon-569strates that the signal of eastward propagation is only 570evident from 180° W to 60° E. Figure 9 (bottom) shows 571the simultaneous and lagged correlation of JASO mean SIC, 572averaged from 55° S to 70° S around the Antarctic for 5731979-2003, along with the ATM-EOF2 index (PC2 scores) 574in the period 1979-2003 (as the atmospheric PSA index). 575Each of the simultaneous (black line), 1 year (green line), 576and 2 year (yellow line) lagged SIC patterns have two peaks 577 that satisfy the statistical significance criteria. Blue dash-578dotted lines indicate 90%, 95%, and 99% statistical signif-579icance based on t tests, respectively. The simultaneous 580correlation pattern exhibits large negative and positive 581values over the area of 120° W, or the central Pacific sector, 582and the area of 60° W, or the western Atlantic sector. This 583spatial correlation pattern signifies the Antarctic dipole. In 584addition, the lag correlation lines clearly show that the areas 585of large positive and negative correlation coefficients prop-586 agated eastward year by year only over 180° W to 60° E. 587Yuan and Martinson [2000] indicated that the Antarctic 588dipole consists of a strong standing mode with a very weak 589propagating signature. As shown in Figure 9, however, we 590can demonstrate that in the period 1984–1994, the Antarctic 591dipole has a standing mode with a substantially strong 592propagating motion compared with other periods i.e., non-593rotating years. 594

595 5.2. Nonpropagation of the SIC and Atmospheric AAO

⁵⁹⁶ [25] Here, we propose an explanation for the SIC change/ ⁵⁹⁷ modulation. We calculated the horizontal temperature ad-⁵⁹⁸ vection field, the curl τ field, and the SST anomaly field ⁵⁹⁹ linearly regressed over the time series of the second EOF ⁶⁰⁰ executed in the subperiods of nonrotation, as in Figure 6. ⁶⁰¹ Signatures that may induce eastward propagation of the SIC ⁶⁰² could not be seen in any of the fields (figures not shown).

Also, Figure 9 (top) shows no propagating signature of the 603 SIC in these subperiods. In addition, a 4 year cycle was not 604 dominant in the time series of the second mode in the 605 subperiods of nonrotation (see Figure 6). The variance of 606 the PSA in the subperiods of nonrotation was, moreover, 607 smaller than that in the subperiod of rotation. In fact, the 608 AAO overwhelmed the PSA pattern in the subperiods of 609 nonrotation. This weak atmospheric external forcing made 610 the formation of the dipole pattern of the SIC difficult. The 611 fact that 4 year cyclic atmospheric forcing was not dominant 612 also reduces the possibility of propagation of the SIC. There- 613 fore, the shift of the dominant atmospheric pattern is quite 614 important for determining whether the SIC propagates. In 615 the nonrotating subperiods, the AAO pattern was predom- 616 inant, whereas in the rotating period, the AAO pattern was 617 subdominant. 618

5.3. Shift of the Dominant Atmospheric Pattern

[26] As discussed above, the shift of the dominant atmo- 620 spheric pattern is quite important for determining whether 621 the SIC propagates. In this subsection, we focus on the shift/ 622 change of the dominant atmospheric pattern for longer time 623 period. To identify the shift of the atmospheric patterns over 624 a longer time period, we calculated atmospheric first and 625 second EOFs for the period 1958-2007 based on the same 626 atmospheric EOF analysis as described in Section 4.2 627 (figures not shown). The spatial pattern and corresponding 628 time series of the first (second) mode are guite similar to 629 those of the AAO (PSA) mode, as shown in Figure 4. 630 Although the analysis period includes the era with no 631 satellite data, i.e., before 1979, we consider that the pat- 632 terns are realistic and have physical meaning because these 633 patterns are similar to those of the period 1979–2003. We 634 further calculated variances for each 11 year period for the 635 time series of atmospheric EOFs in the period 1958–2007. 636 The variance lines are shown in Figure 10; for example, the 637 value in 1990 signifies the variance in the period 1985-638 1995. The variances of the PSA pattern are larger than those 639 of the AAO over the period 1984–1994. This period corre- 640 sponds to the years of sea ice propagation, whereas in the 641

642 nonpropagating years (1979-1983 and 1995-2003), var-

643 iances of the AAO are more pronounced than those of the

644 PSA pattern. Moreover, Figure 10 demonstrates that the

atmospheric shift of the dominant pattern occurred several

times from the 1960s to the 2000s: in the mid-1970s, -1980s,and -1990s.

648 [27] Consequently, the amplitude and frequency shifts of 649 the PSA pattern, as well as the amplitude shift of the AAO, 650 determine whether the SIC propagates. These shifts of atmo-651 spheric patterns cause the modulation in sea ice variation 652 patterns in the Southern Ocean. The viewpoint of sea ice 653 modulation enabled us to clarify the presence of the shifts of 654 the AAO and the PSA pattern.

656 6. Concluding Remarks

[28] We investigated the interannual variation in SIC 657 anomalies around the Antarctic using an EOF analysis for 658 newly available passive satellite-derived microwave data for 659the period of 1979-2003. The period of eastward propaga-660 tion was only from 1984 to 1994, with no significant 661 eastward propagating features of the SIC in other years. 662 663 This large modulation in the SIC suggests that the change of 664 a climate variability occurred. We also clarified the difference in dominant large-scale atmospheric patterns in asso-665ciation with the SIC modulation. In the nonpropagating 666 years, the variance of tropospheric AAO was predominant. 667 In the rotating years, the variance of the PSA pattern with a 668

4 year cycle in the troposphere was predominant, whereas

AAO variance was subdominant. Such predominant periodic atmospheric external forcing allows the SIC to propagate eastward.

[29] The causes of the modulation in the SIC pattern 673 include the amplitude and frequency shifts of large-scale 674 atmospheric variability. The switch in the dominant atmo-675 spheric pattern from the PSA to the AAO in the mid-1990s 676 is synchronized with the modulation in the SIC pattern. In 677678 addition, we can demonstrate that the shift of the dominant 679 atmospheric pattern between the AAO and PSA occurred in the mid-1970s, -1980s, and -1990s. It is known that the PSA 680 pattern is influenced by ENSO [e.g., Karoly, 1989; Trenberth 681 et al., 1998; Kidson, 1999]. However, no significant modu-682 lations of the ENSO cycle occurred in the mid-1970s, -1980s, 683 and -1990s. This suggests that the response of the high-684 latitude atmosphere to quasi-cyclic tropical forcing is not 685 simple. The causes of long-term shift of the AAO also 686

- 687 require further research. Because the AAO and the PSA are
- 688 hemispheric-scale atmospheric phenomena, these shifts
- 689 may influence other climatic subsystems.

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