



1 Abrupt evolution of the summer Northern Hemisphere annular 2 mode and its association with blocking

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5 [1] Using reanalysis data from the National Centers for Environmental Prediction-
6 National Center for Atmospheric Research, Boulder, Colorado, for the period from 1958 to
7 2005, we statistically analyzed the relationships of the summer Northern Hemisphere
8 annular mode (summer NAM) with hemispheric-scale anomalous summer weather and the
9 occurrence of blocking highs. The anomalous positive NAM (low-pressure anomaly in the
10 Arctic and high-pressure anomaly in midlatitudes) accounts well for the hemispheric-scale
11 weather associated with anomalous blocking between the polar and subtropical jets,
12 whereas blocking rarely occurs during negative NAM periods. The double jet stream
13 structure is more evident during periods of anomalous positive NAM than during periods
14 of negative NAM. The surface temperatures associated with the anomalous positive NAM
15 clearly show Europe to be hot and East Asia to be cool, as was the case during the
16 anomalous summer of 2003. The occurrence of a positive summer NAM is therefore
17 consistent with the hemispheric-scale anomalous summer weather associated with
18 blocking in 2003. We investigated the abrupt evolution of atmospheric patterns and the
19 geographic distribution of blocking highs associated with the development, maintenance,
20 and decay periods of an anomalous positive NAM. During the development period,
21 blocking tends to occur over Europe and the Atlantic Ocean, but no significant blocking
22 signature is evident over eastern Eurasia. During the maintenance stage, blocking tends
23 to occur in the Far East. During the decay stage, blocking over the Pacific region is
24 obvious. This longitudinal migration of blocking phenomena may be used to predict the
25 evolution through time of the NAM.

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28 1. Introduction

29 [2] There was abnormal weather in the northern midlati-
30 tudes in summer 2003. Summer temperatures in Europe
31 were the highest of the past 500 years [Luterbacher *et al.*,
32 2004]. In contrast, summer temperatures in Japan were the
33 coolest of the past 10 years (not shown). Ogi *et al.* [2005]
34 demonstrated that the summer Northern Hemisphere annu-
35 lar mode (summer NAM), defined by Ogi *et al.* [2004] on
36 the basis of an empirical orthogonal function (EOF) analysis
37 of geopotential height fields of individual calendar months,
38 can explain some aspects of the anomalous summer of 2003.
39 Ogi *et al.* [2005] showed that in mid-July 2003, the summer

NAM index abruptly increased and large positive NAM 40
indices (exceeding the mean by two standard deviations) 41
persisted until early August. The extremely high indices 42
persisted for at least 2 weeks, roughly concomitant with the 43
disastrously hot weather in Europe and the cool weather in 44
Japan. During the period of a high positive NAM index in 45
2003, a double jet stream structure associated with blocking 46
highs appeared over both Europe and Japan. Ogi *et al.* 47
[2005] concluded that the summer NAM accounted for 48
much of the anomalous summer weather associated with 49
blocking in the Northern Hemisphere in 2003. They dem- 50
onstrated, moreover, that the North Atlantic Oscillation 51
(NAO) [Hurrell, 1995] could not explain the abnormal 52
summer of 2003. However, their study dealt with only the 53
anomalous summer of 2003. Although Rex [1951] formally 54
showed the linkage of anomalous summer weather and 55
blocking, it is not yet clear whether the summer NAM 56
provides a general explanation for the hemispheric-scale 57
anomalous summer weather that occasionally accompanies 58
blocking. 59

[3] The summer NAM as defined by Ogi *et al.* [2004] is 60
calculated by applying an EOF analysis to each calendar 61
month, whereas the conventional NAM, defined by Thompson 62

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63 *and Wallace [2000]*, is calculated by applying a single EOF
64 analysis to all calendar months. Because the calculation
65 method of *Thompson and Wallace [2000]* ignores seasonal
66 variation, it underestimates the summer-dominant mode. By
67 breaking the NAM into calendar months, *Ogi et al. [2004]*
68 revealed a pronounced summertime mode. The meridional
69 scale of the summer NAM is smaller than that of the con-
70 ventional NAM, and the summer NAM is displaced poleward
71 compared with the conventional NAM. The antinode on the
72 lower-latitude side during the summer NAM is at the nodal
73 latitude of the conventional NAM. The summer NAM pattern
74 shows negative geopotential height anomalies over the Arctic
75 Ocean only, and positive anomalies are found over other
76 latitudes, especially over Eurasia and North America. The
77 summer NAM is associated with the Arctic front, polar jet,
78 and storm track around the Arctic Ocean [e.g., *Mesquita et*
79 *al., 2008*].

80 [4] Many studies have investigated the dynamic structures
81 of the conventional NAM. For example, zonally symmetric
82 flow anomalies associated with the conventional NAM are
83 forced by eddy momentum fluxes associated with stationary
84 and transient waves [e.g., *Limpasuvan and Hartmann, 1999,*
85 *2000; Lorenz and Hartmann, 2003*]. Progress in under-
86 standing the summer NAM has been slow. *Feldstein [2007]*
87 and *Folland et al. [2009]* have described the summer NAO
88 in detail, yet the difference between the summer NAM and
89 summer NAO has not been clarified. *Ogi et al. [2004]*
90 reported in detail the spatial structure and dynamic balance
91 of the summer NAM in relation to the monthly mean
92 atmospheric geopotential height data. However, extreme
93 weather events associated with blocking develop abruptly
94 and usually last for between one and a few weeks [e.g.,
95 *Carrera et al., 2004*]. To prove the relationship between the
96 anomalous summer NAM and extreme summer weather, we
97 must consider in detail the NAM index at time scales shorter
98 than 1 month. In particular, lead and lag relationships
99 between the development of blocking, the double jet stream
100 structure, and the summer NAM must be carefully exam-
101 ined. If the summer NAM provides a good explanation for
102 hemispheric-scale anomalous weather, understanding the
103 causes of abrupt changes in the NAM index, such as the
104 event of 2003, is important for medium-range forecasts of
105 periods of anomalous weather. The duration of anomalous
106 weather patterns is also of interest, as is the ability to fore-
107 cast when these anomalous patterns will end. Many previous
108 studies of anomalous summer weather associated with
109 blocking were not at hemispheric scale. For example,
110 summer blocking over the Okhotsk Sea, which causes
111 abnormally cool summers in Japan [e.g., *Ninomiya and*
112 *Mizuno, 1985*], was statistically examined by *Tachibana et*
113 *al. [2004]* and *Nakamura and Fukamachi [2004]*, both of
114 whom pointed out the effect of stationary Rossby wave
115 propagation along the Arctic coast of Eurasia. Climatolog-
116 ically weak westerlies, which tend to prevent wave propa-
117 gation over the Okhotsk region, are favorable for the
118 occurrence of blocking. The large-scale horizontal pattern
119 associated with blocking over the Okhotsk Sea is similar to
120 that of the summer NAM [*Ogi et al., 2005*]. However, few
121 statistical studies have been undertaken of anomalous
122 summer weather associated with the summer NAM, or of
123 the statistical relationship between the summer NAM and
124 blocking. *García-Herrera and Barriopedro [2006]* showed

that an index of the temperature difference between polar 125
and subpolar regions, which is strongly linked to the sum- 126
mer NAM, also tends to be associated with the enhanced 127
occurrence of blocking over Europe and western Pacific, 128
thus suggesting a positive linkage between NAM and 129
blocking. 130

[5] In this study, we statistically examined the abrupt 131
evolution and decay of the summer NAM and their 132
relationships with hemispheric-scale anomalous weather 133
conditions, the occurrence of blocking, and the double jet 134
stream structure. Another aim of our study was to show that 135
the summer NAM can explain hemispheric-scale anomalous 136
summer weather. Identification of precursors of the abrupt 137
development and decay of the summer NAM will improve 138
medium-range forecasts of anomalous summer weather. In 139
addition, we differentiate the summer NAM from the sum- 140
mer NAO and the conventional NAM, thereby showing not 141
only the relevance of the summer NAM but also its differ- 142
ences with more conventional modes. 143

2. Data and Methods 144

[6] *Ogi et al. [2004]* identified the summer NAM by an 145
EOF analysis of a temporal covariance matrix of geopo- 146
tential height fields for individual calendar months. They 147
used a zonally averaged monthly geopotential height field 148
from 1000 to 200 hPa for the area poleward of 40°N. In the 149
present study, we defined the summer NAM as the leading 150
EOF modes for the summer months (June, July, and August) 151
from 1958 through 2005. We calculated the daily time series 152
of the summer NAM index from the projection of daily 153
zonal mean geopotential height anomalies onto the summer 154
NAM in each month. Daily anomaly fields were defined as 155
departures from daily climatological data, calculated as the 156
48 year averages of daily data for each date of the year. The 157
climatological data were acquired from National Centers for 158
Environmental Prediction-National Center for Atmospheric 159
Research (NCEP-NCAR) reanalysis data [*Kalnay et al.,* 160
1996]. 161

[7] The zonal-mean zonal winds at 300 hPa associated 162
with positive and negative NAM indices in winter and 163
summer, along with those associated with the NAO and the 164
conventional NAM, that is, the Arctic Oscillation (AO), are 165
presented in Figure 1. In winter, the zonal-mean zonal 166
winds related to each of these indices are quite similar. In 167
summer, in contrast, the winds at the polar jet latitudes 168
differ. Except for those of the summer NAM, all winds show 169
a double jet structure in both negative and positive indices. 170
The subtropical jet is located at 45°N, and the polar jet is at 171
about 70°N. However, the positive summer NAM index 172
exhibits a more pronounced polar jet than the other indices 173
exhibit, whereas the negative summer NAM index does not 174
exhibit a polar jet. The difference in the polar jet between 175
the negative and positive indices of the summer NAM is the 176
largest among all the indices, and only the summer NAM 177
captures the appearance and disappearance of the double jet 178
structure. Therefore, atmospheric phenomena expressed by 179
the summer NAM can be expected to differ from those 180
expressed by other indices. Figure 2 shows the autocorrela- 181
tion of the summer NAM and NAO indices. These two 182
indices have similar persistence, but the duration of the 183

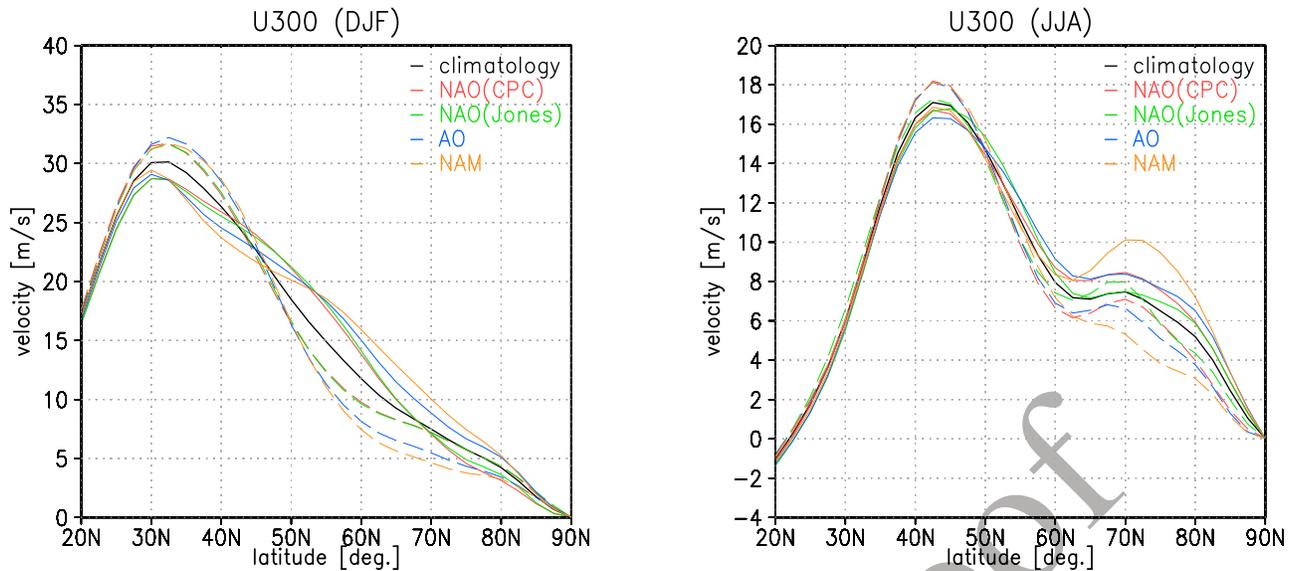


Figure 1. Monthly mean zonal-mean zonal winds when various monthly mean indices exceeded 1σ (solid lines) or -1σ (broken lines) in (left) winter (Dec. –Jan. –Feb. [DJF] mean) and (right) summer (June–July–Aug. [JJA] mean), along with the climatology. The zonal-mean zonal wind indicates the zonal-mean value of some x component of the wind. The indices shown here are the North Atlantic Oscillation (NAO) index (Climate Prediction Center [CPC], <http://www.cpc.ncep.noaa.gov/products/precip/CWlink/pna/nao.shtml> [Barnston and Livezey 1987]), the NAO index [Jones *et al.*, 1997], the Arctic Oscillation (AO) index [Thompson and Wallace, 2000], and the Northern Hemisphere annular mode (NAM) index (<http://www.woa.ees.hokudai.ac.jp/people/yamazaki/SV-NAM/index.html> [Ogi *et al.* 2004]).

184 summer NAM is somewhat longer than that of the summer
185 NAO [Feldstein, 2007].

186 [8] The double jet tends to cause atmospheric blocking,
187 which stops the eastward propagation of cyclones and
188 anticyclones and therefore supports long-lasting weather
189 anomalies [Maeda *et al.*, 2000]. In this study, we focused on
190 the time scale of the blocking, which is about 10 days. Using
191 the standardized daily NAM index, we divided the extreme
192 positive NAM periods into three stages: development,
193 maintenance, and decay. The development stage of the NAM
194 is defined by a consecutive 11 day period starting from a day
195 (day -10) on which the NAM index is less than $+1\sigma$ until a
196 day (day 0) on which the index is greater than $+3\sigma$. The
197 maintenance stage of the anomalous positive NAM is a
198 period of 11 days during which the NAM index continuously
199 exceeds $+2\sigma$. In the decay stage, a day (day 0) with a NAM
200 index greater than $+3\sigma$ is followed 11 days later by a day
201 (day 10) when the index is less than $+1\sigma$. In the 48 years of
202 data we analyzed, we identified 18 development, 8 mainte-
203 nance, and 18 decay stages. This classification, based on the
204 evolution of the summer NAM, is similar to that used by
205 Feldstein [2007] for describing the life-cycle of the summer
206 NAO. The individual evolution of the NAM indices in each
207 of these NAM stages is shown in Figure 3. In most cases, the
208 index was negative on the first day (day -10) of the devel-
209 opment stage and was increased toward the last day (day 0).
210 During the decay stage, the index exceeded $+3$ on the first
211 day (day 0) in all cases and then decreased over the next
212 10 days; in most cases its sign became negative after around
213 10 days. We tested other thresholds, such as 7 days, to
214 ascertain whether these stages were dependent on the time
215 scale chosen, but the results change little. We also identified

periods of large positive indices, regardless of duration, 216
when the index exceeded the mean by two or three standard 217
deviations; we calculated as before the frequencies of 218
extremely positive NAM events of different durations 219
(Figure 4). The number of extremely positive NAM events 220
of long duration was quite extraordinary. 221

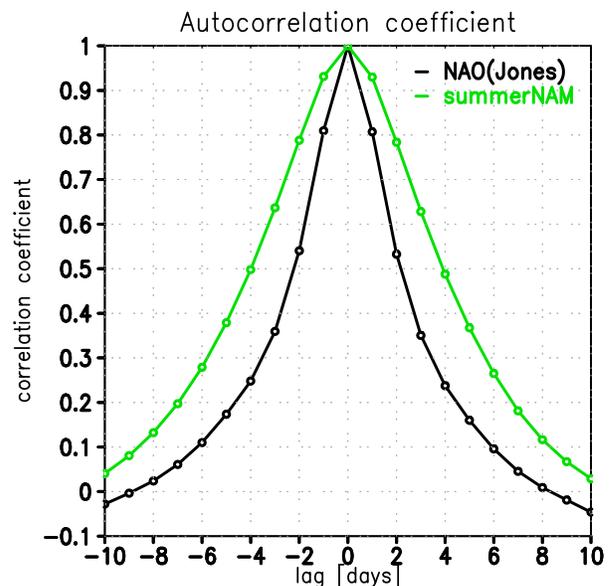


Figure 2. Autocorrelation of daily indices of the summer NAM (green) and the Jones NAO index (black) during June, July, and August (48 year average). Lead-lag correlation coefficients were calculated for each year.

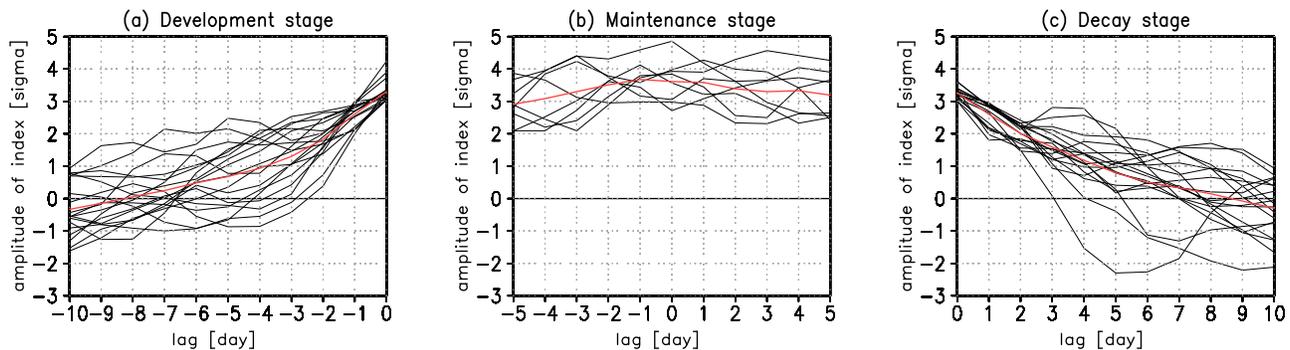


Figure 3. Daily summer NAM indices (black lines) and their means (red lines) for (a) 18 events of the NAM development stage, where day 0 is the day that the deviation of the NAM index from the mean first exceeds 3σ ; (b) 8 events of the NAM maintenance stage, where day 0 is the middle day of 11 consecutive days when the deviation of the NAM index from the mean exceeds 2σ ; and (c) 18 events of the NAM decay stage, where day 0 is the start of an 11 day period during which the deviation of the NAM index from the mean exceeds 3σ only on the first day.

222 [9] Because comparison of the atmospheric features
 223 characteristic of each of the three stages we identified might
 224 provide clues as to the specific atmospheric conditions that
 225 cause the NAM to abruptly develop and decay, we carried
 226 out composite analyses to evaluate the characteristic features
 227 of each stage.

228 [10] We extracted all days on which blocking highs
 229 occurred at each grid point of the NCEP-NCAR reanalysis
 230 data. To extract the characteristic time scales of the blocking,
 231 we first adjusted the band-passed NCEP-NCAR reanalysis
 232 data by subtracting 30 day mean data at each grid point from
 233 the 10 day mean to exclude both storm tracks with short-
 234 term variations and long-lasting stationary Rossby waves.
 235 The definition we used for a blocking high in this study was
 236 as follows:

$$\frac{Z(\phi_0) - Z(\phi_s)}{(\phi_0 - \phi_s)} > 0, \quad (1)$$

$$\frac{Z(\phi_n) - Z(\phi_0)}{(\phi_n - \phi_0)} < -8 \text{ m}/^\circ, \quad (2)$$

$$\phi_s = \phi_0 - 15^\circ,$$

$$\phi_n = \phi_0 + 15^\circ,$$

237 where ϕ indicates latitude and Z indicates the band-pass-
 238 filtered geopotential height at 300 hPa. This definition is the
 239 same as that of *Tibaldi and Molteni* [1990], but we used a
 240 latitudinal width of 15° and a height criterion of -8 m,
 241 whereas *Tibaldi and Molteni* [1990] adopted a latitude width
 242 of 20° and a height criterion of -10 m/ $^\circ$. This slight change
 243 of the definition improved the extraction of summer
 244 blocking, when the horizontal scale is usually smaller than it
 245 is in winter [*Arai and Kimoto*, 2005]. Our definition of
 246 summer blocking is the same as that adopted by *Arai and*
 247 *Kimoto* [2005], except for the band-pass filter we applied.
 248 Because *Arai and Kimoto* [2005] successfully extracted
 249 summer blocking highs over the Okhotsk Sea, where
 250 blocking occasionally occurs in summer, our definition

appears to be acceptable. If a grid point at latitude ϕ_0 on a 251
 particular day satisfied the conditions of equations (1) and 252
 (2), we assigned a value of 1 to that grid point for that day. If 253
 a grid point at latitude ϕ_0 on a particular day did not satisfy 254
 the conditions of equations (1) or (2), we assigned a value of 255
 0 to that grid point on that day. We then calculated the 256
 probability of the occurrence of blocking associated with 257
 NAM. 258

3. Results 259

3.1. Relationships of Geopotential Height, 260 Temperature Patterns, and Zonal Wind 261 With NAM Stages 262

[11] Figure 5 shows the anomalies of the 300 hPa geo- 263
 potential height and average surface temperatures during the 264
 three NAM stages. The anomalies represent deviations from 265
 the climatological mean. 266

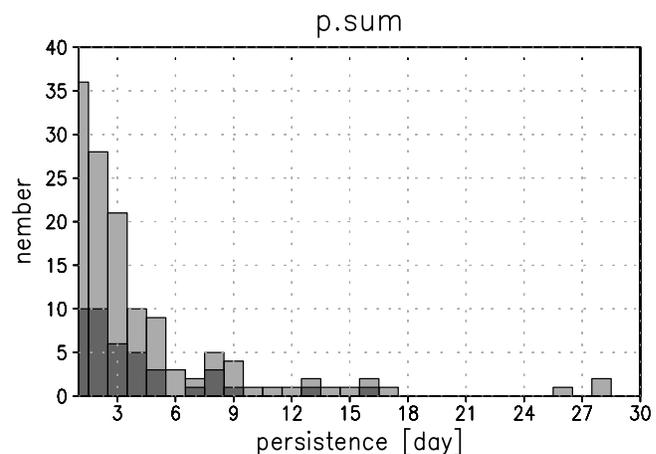


Figure 4. The number of extremely positive NAM events as a function of their duration. An extremely positive value of the NAM index is defined here as one in which the deviation from the mean exceeds 3σ (dark shaded bars) or 2σ (gray shaded bars).

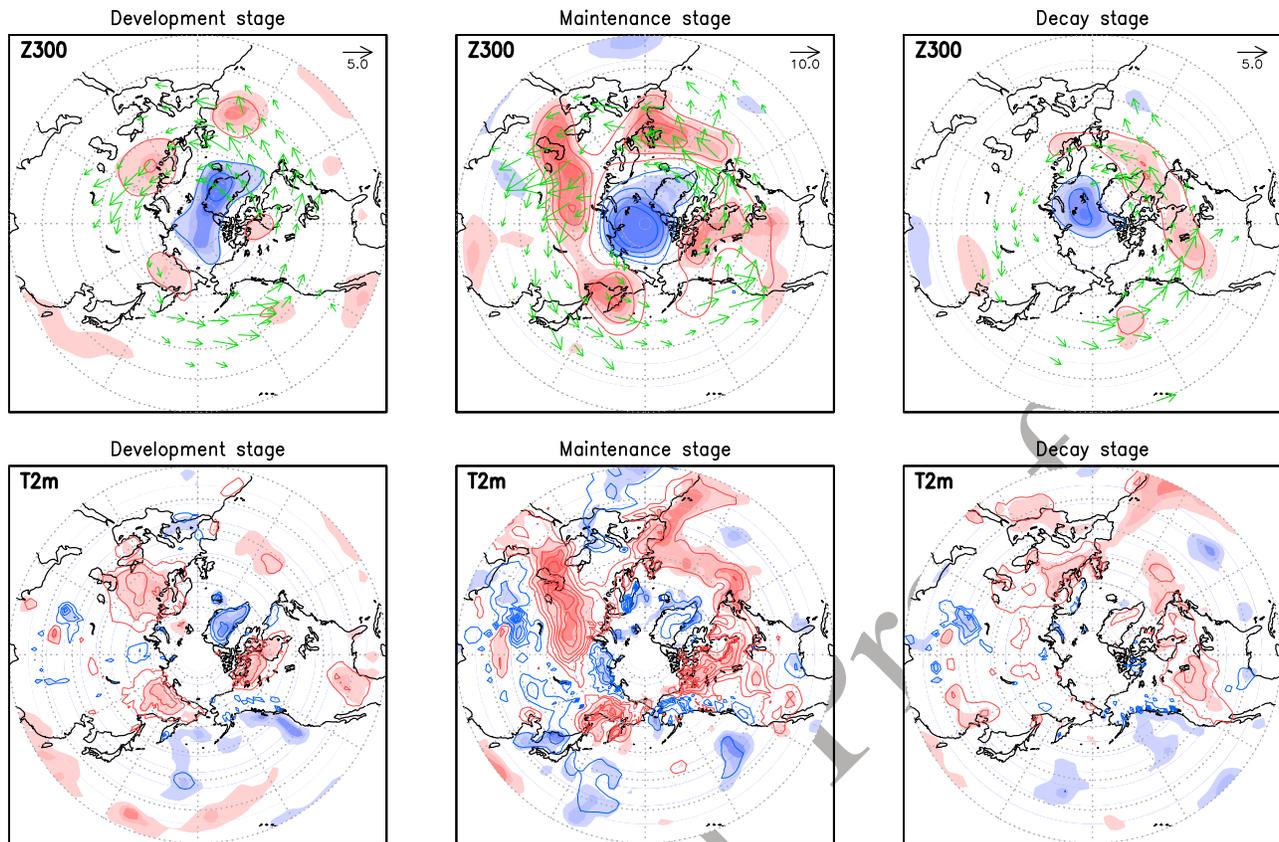


Figure 5. Composite anomaly maps of the Northern Hemisphere during the development, maintenance, and decay stages of NAM. (upper panels) Geopotential height anomalies (m) at the 300 hPa level; (lower panels) surface temperature (T2m) anomalies (K). The anomalies shown in this figure are differences from the climatological temporal mean. The green arrows show the wave-activity flux ($\text{m}^2 \text{s}^{-2}$) at 300 hPa, formulated by *Takaya and Nakamura* [2001], and the arrow in the upper right corner of each upper panel shows the scale of the 300 hPa wave-activity flux arrows in the corresponding schematic. The contour interval is 30 m for the height anomalies and 0.5 K for the temperature anomalies; zero-value lines are omitted. (all panels) Red (blue) shading indicates positive (negative) anomalies. The light, moderate, heavy, and heaviest shadings indicate significance at the 75%, 90%, 95%, and 99% confidence levels, respectively.

267 [12] During the development stage, three anticyclonic
 268 anomalies are evident over the Atlantic Ocean, eastern
 269 Europe, the Russian Far East, and northern North America.
 270 The annular pattern is not apparent during this stage; rather,
 271 a wavy zonal pattern is seen. Warm surface temperature
 272 anomalies are also seen in three separate areas, corresponding
 273 to the areas where the anticyclonic anomalies are observed.

274 [13] During the maintenance stage, the cyclonic anomaly
 275 evident over the Arctic in the development stage strengthens,
 276 as do the anticyclonic areas over the midlatitudes, but the
 277 centers of the anticyclonic anomalies tend to shift slightly
 278 from their positions in the development stage, and the pat-
 279 tern becomes more annular with negative anomalies around
 280 the pole and positive anomalies in the midlatitudes, which
 281 agrees with the monthly NAM pattern identified by *Ogi et*
 282 *al.* [2004]. There are warm temperature anomalies over
 283 western Europe, central Siberia, northern North America,
 284 and the Russian Far East, whereas there are cold anomalies
 285 in the region of Japan. The temperature patterns in the
 286 maintenance stage are quite similar to those that occurred in
 287 summer 2003. In the decay stage, the annular pattern of the

geopotential height anomalies is weak, and is seen only in 288
 the North Atlantic region. 289

[14] The evolution of the zonal-mean zonal wind through 290
 the three NAM stages is illustrated in Figure 6. At the start 291
 of the development stage, only the subtropical jet stream is 292
 clearly evident; the polar jet stream develops after 5 days, 293
 and a double jet stream structure develops by the last day. 294
 The double jet stream structure is clearly evident throughout 295
 the maintenance stage; during the decay stage, the polar jet 296
 stream structure decreases with time. 297

[15] It is common for the zonal-mean zonal wind associ- 298
 ated with the winter NAM to be maintained by interactions 299
 between zonal wind and waves, such as planetary-scale 300
 Rossby waves and baroclinic waves [e.g., *Limpasuvan and* 301
Hartmann, 1999, 2000; *Yamazaki and Shinya*, 1999; 302
Kimoto et al., 2001]. The wave and zonal-mean zonal wind 303
 interaction associated with the summer NAM is next dem- 304
 onstrated. The Eliassen-Palm (EP) flux, incorporating the 305
 transformed Eulerian mean, is widely used in dynamic 306
 meteorology to diagnose interactions between waves and 307
 zonal-mean wind flow. Figure 6 also shows EP flux 308
 anomalies overlaid on vertical sections of the zonal-mean 309

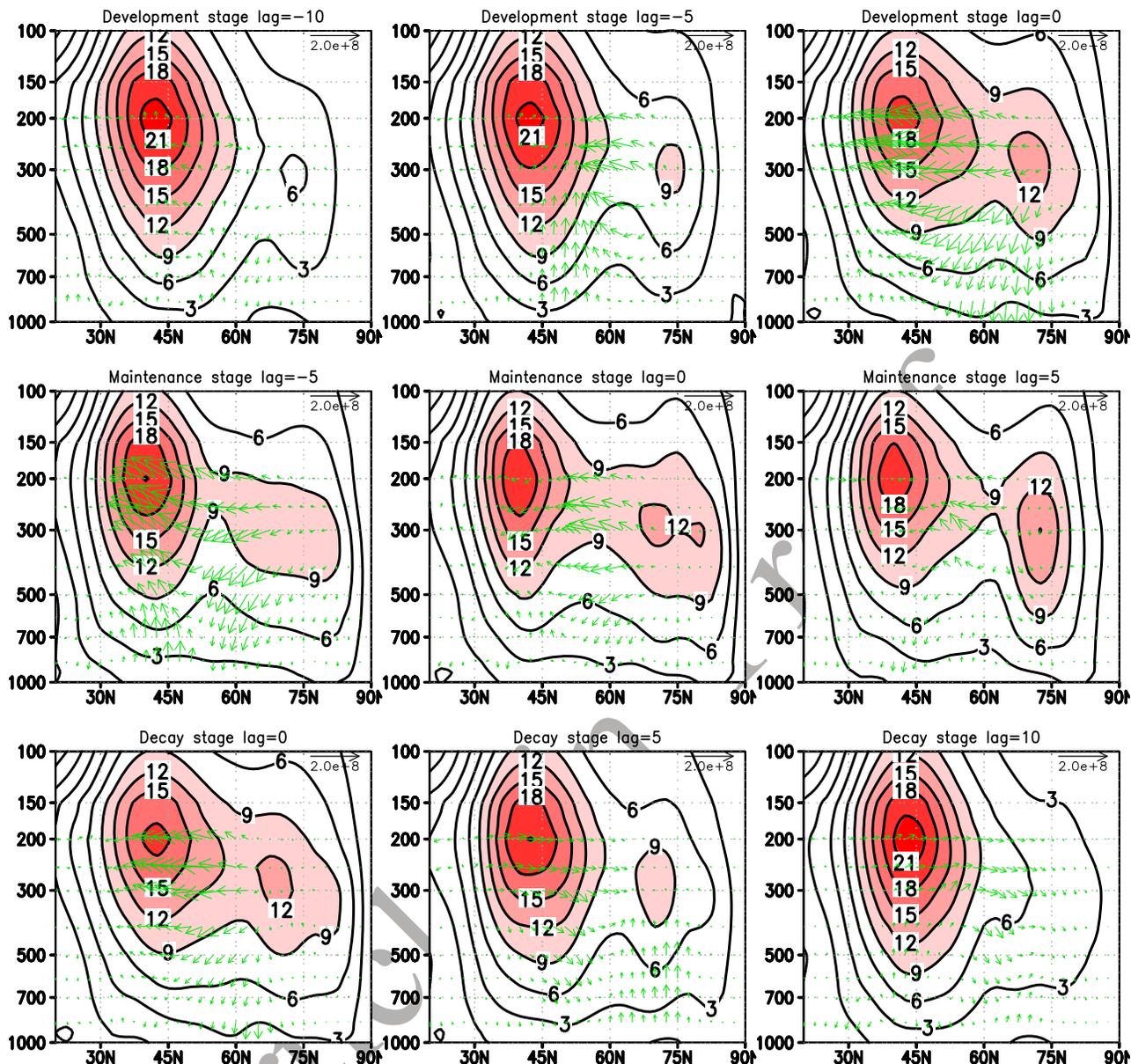


Figure 6. Composite vertical (hPa) section showing zonal-mean zonal winds (m s^{-1}) associated with the three stages of the NAM index as a function of latitude (contours). (top panels) Development stage at days -10 , -5 , and 0 ; (middle panels) maintenance stage at days -5 , 0 , and $+5$; (bottom panels) decay stage at days 0 , $+5$, and $+10$. Green arrows indicate composite Eliassen-Palm (EP) flux anomalies that are departures from the climatology for each calendar day. The length of the arrow in the upper right corners corresponds to $2 \times 10^8 \text{ kg s}^{-2}$. Note that the vertical components of flux are multiplied by a factor of 30.

310 zonal wind [Andrews and McIntyre, 1976]. EP flux diver-
 311 gence indicates acceleration of the zonal-mean zonal wind
 312 due to waves, that is, wave forcing. The direction of the EP
 313 flux and the associated convergence or divergence are
 314 consistent with the evolution of zonal winds during each
 315 stage. Arrows oriented equatorward on day -5 of the
 316 development stage are seen in the upper troposphere
 317 between about 50°N and 70°N , indicating that waves are
 318 generated mostly at high latitudes and propagate equator-
 319 ward in the upper troposphere. Divergence of the EP flux is
 320 seen at about 75°N in the upper troposphere, indicating
 321 acceleration of the westerly wind. On the other hand, the EP

flux convergence is large at 50°N – 60°N in the upper 322
 troposphere, indicating the deceleration of the westerly wind in 323
 that area. This meridional difference in the EP flux diver- 324
 gence enables formation of the double jet stream structure. 325
 This EP flux pattern strengthens on day 0 of the develop- 326
 ment stage and during the maintenance stage. During the 327
 decay stage, there appears to be a general reversal of the 328
 direction of the EP flux. 329

[16] The interaction between waves and zonal-mean zonal 330
 wind flow may be a key factor in the development of the 331
 double jet stream structure associated with the NAM. Figure 7 332
 shows the evolution of the zonal-mean zonal wind and of 333

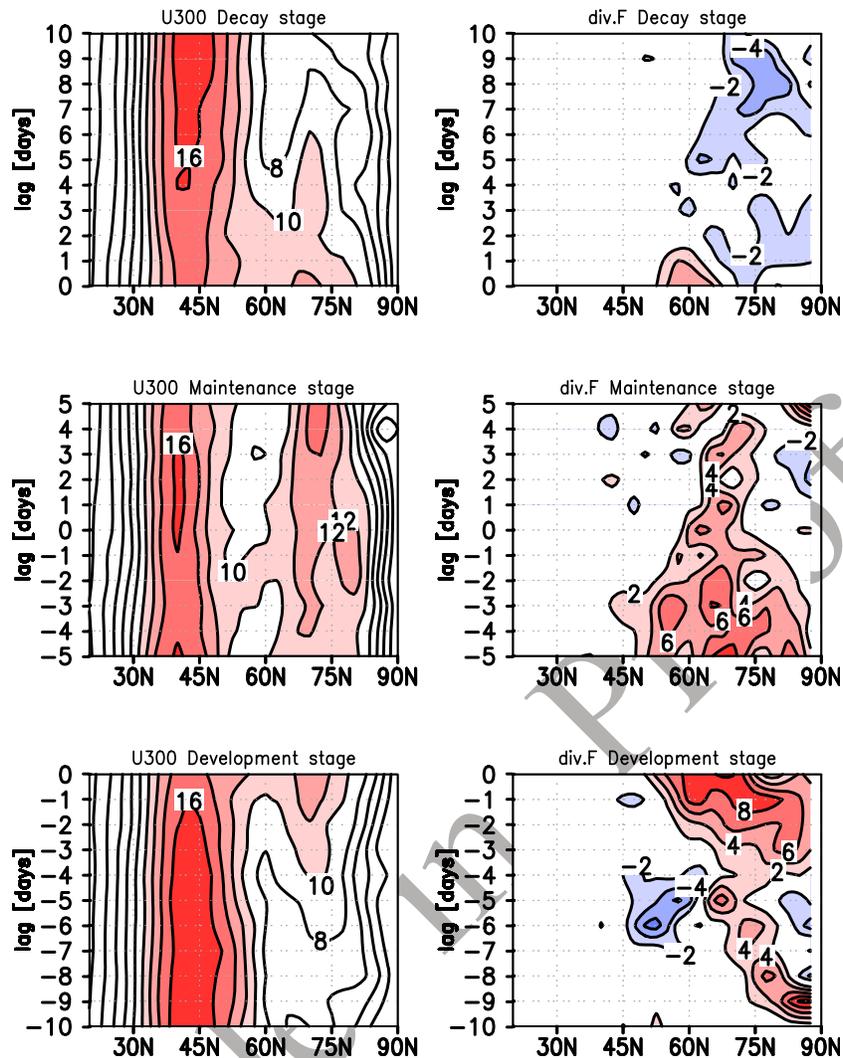


Figure 7. (left panels) Latitude and time (days) composite cross sections showing zonal-mean zonal winds (m s^{-1}) at 300 hPa; (right panels) same as in left panels but showing the EP flux divergence ($\text{m s}^{-1} \text{ day}^{-1}$) at 300 hPa. (bottom panels) The development stage; (middle panels) the maintenance stage; (top panels) the decay stage. Evolution of the NAM is shown by the progression from the bottom panels to the top panels.

334 the EP flux divergence at 300 hPa for each NAM stage. A
 335 clear double jet stream structure is apparent throughout the
 336 maintenance stage, when anomalous eddy forcings accel-
 337 erate the polar zonal wind, whereas this structure rapidly
 338 develops (decays) during the development (decay) stage,
 339 when anomalous eddy forcing accelerates (decelerates) the
 340 polar zonal wind. The evolution of zonal winds associated
 341 with the summer NAM clearly shows that the double jet
 342 stream structure is an indicator of the stage of the summer
 343 NAM index. The development of the double jet stream
 344 structure is caused mainly by eddy forcing, so we infer that
 345 both the zonally asymmetric pattern and the double jet
 346 stream are important in the evolution of the NAM.

347 [17] The evolution of the variance of geopotential height
 348 deviations from the zonal mean geopotential height provides
 349 a good indicator of the strength of zonal wavy conditions
 350 (Figure 8, left panels). Strong wave patterns centered at
 351 about 60°N are clear during the later part of the development
 352 stage and the early maintenance stage. The wave pattern is

weak late in the maintenance stage and in the early decay
 353 stage. The right panels of Figure 8 show the evolution of the
 354 meridional gradient of the potential vorticity (PV) on the
 355 325 K isentropic surface, which is near the 300 hPa pressure
 356 level at high latitudes in the Northern Hemisphere at this
 357 time of year. The temporal change of the PV gradient is
 358 large at about 75°N and agrees well with the evolution of the
 359 zonal-mean zonal wind. On the other hand, the PV gradient
 360 weakens between 50°N and 65°N for whole days during the
 361 maintenance stage. This PV gradient weakening corre-
 362 sponds well to temporal changes in the zonal wavy condi-
 363 tion shown in the left panel. Because barotropic instability
 364 occurs in regions where the PV gradient is negative [e.g.,
 365 Maeda *et al.*, 2000], strong wavy conditions between the
 366 two jets of the double jet can be expected. Estimating the
 367 contribution of the barotropic instability further will require
 368 energy conversion analyses, but such analyses are beyond
 369 the scope of this study. 370

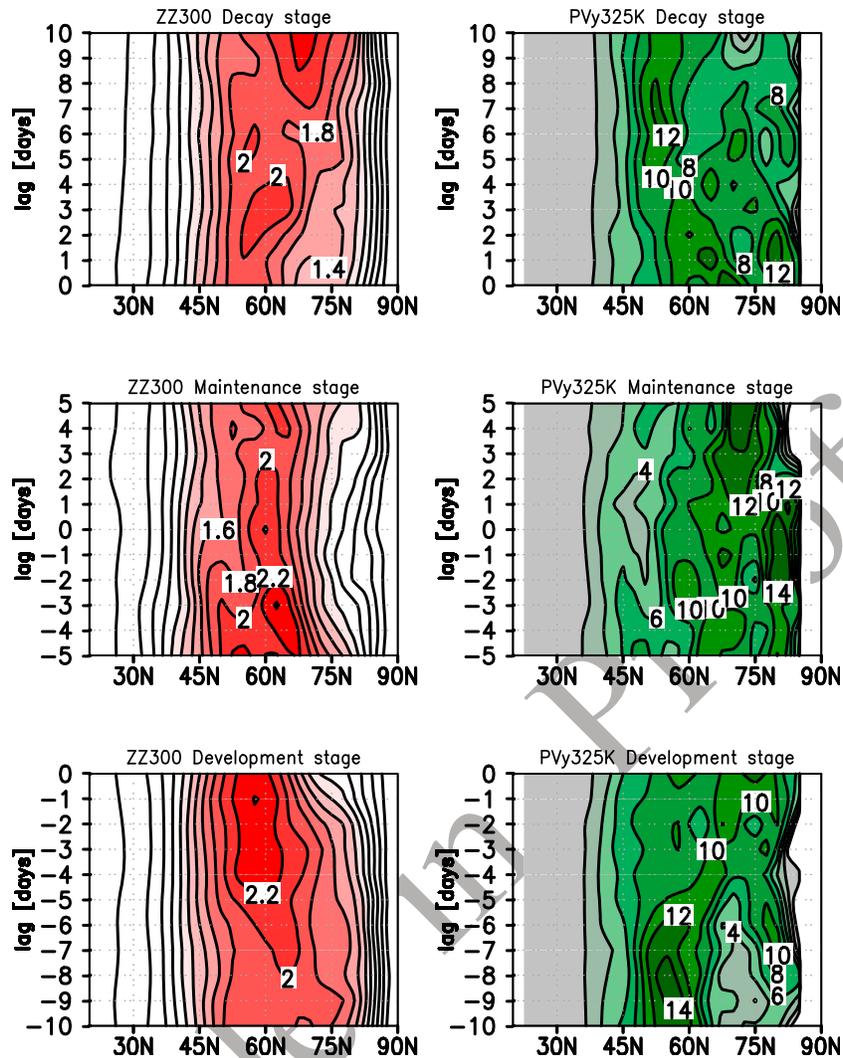


Figure 8. (left) Latitude and time (days) composite cross section showing variance of geopotential height at 300 hPa; (right panels) same as in left panels but showing the meridional gradient of potential vorticity (units 1×10^7 PVU m^{-1}) on the 325 K isentropic surface. (bottom panels) Development stage; (middle panels) maintenance stage; (top panels) the decay stage. Variance here is the square of the deviation from the zonal average for individual latitudes (units 1×10^4 m^2). Evolution of NAM is shown by the progression from the bottom panels to the top panels.

371 3.2. Relationship of NAM Stages to Blocking Highs

372 [18] The wave pattern associated with the positive NAM
 373 index corresponds well to the double jet stream structure
 374 (Figure 7) because barotropic instability occurs under dou-
 375 ble jet conditions. Furthermore, the horizontal geopotential
 376 patterns shown in Figure 5 are similar to the patterns
 377 observed during the abnormal summer of 2003, when
 378 blocking highs appeared to the north of Japan and over
 379 Europe. The relationship between the double jet stream and
 380 blocking highs is well known [Shutts, 1983; Nakamura and
 381 Fukamachi, 2004].

382 [19] Figure 9 shows summer climatological data of the
 383 zonal-mean zonal wind at the 300-hPa level, the zonal-
 384 mean meridional gradient of the geopotential height interval
 385 between the 300 and 1000 hPa levels, and the continental to
 386 oceanic area ratio along parallels of latitude. The climato-
 387 logical zonal wind shows peaks at about 40°N and 70°N.

The high-latitude peak corresponds to a large meridional 388
 thickness (i.e., temperature) gradient between the cold 389
 Arctic Ocean and the relatively warm continents. These 390
 geographical summer conditions possibly play a role in 391
 strengthening the polar jet. Because the double jet structure 392
 favors blocking and is enhanced during positive NAM 393
 phases, the NAM may be related to blocking. 394

[20] Before examining the relationship between blocking 395
 highs and the three stages of NAM, we consider the average 396
 probability of blocking occurring during periods when the 397
 NAM index is high-amplitude positive, normal, and high- 398
 amplitude negative (Figure 10). During periods with an 399
 extreme positive NAM index, blocking occurs at lower 400
 latitudes along the Arctic coasts of the continents. The prob- 401
 ability of a blocking high is highest in Western Europe and 402
 central Siberia, where it exceeds 0.5. In contrast, during 403
 periods with an extreme negative NAM index, blocking 404
 rarely occurs in those regions. We thus confirmed that 405

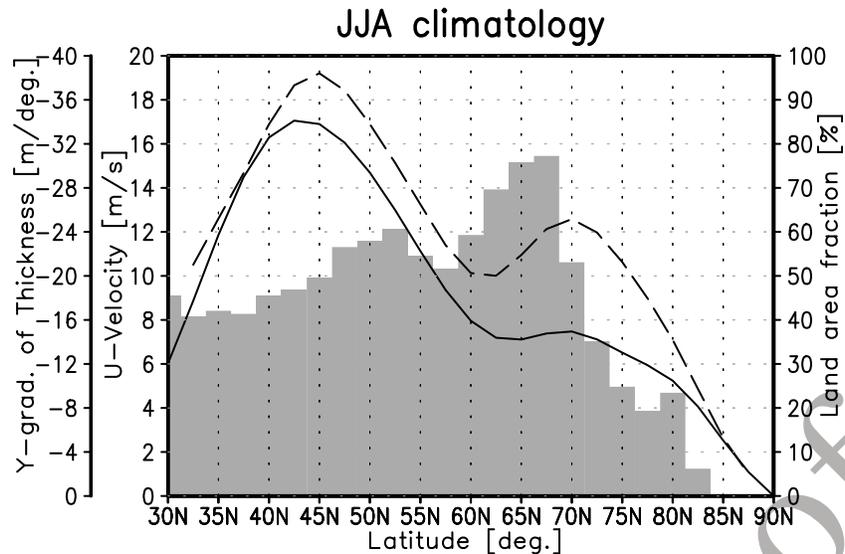


Figure 9. Climatological mean of the zonal-mean zonal wind at the 300 hPa level (solid line) and the zonal-mean meridional gradient of atmospheric thickness between the 1000 and 300 hPa levels (dashed line) averaged over June, July, and August. The shaded bars indicate the ratio of continental area to oceanic area along parallels of latitude.

406 blocking occurs frequently in association with an anomalous
 407 positive phase of the summer NAM. In addition, blocking
 408 along the Arctic coast of the continents during periods of an
 409 extreme positive NAM does not tend to show longitudinal
 410 dependence. Figure 11 shows the latitudinal distribution of
 411 the zonal mean probability of the occurrence of blocking.
 412 This distribution confirms that blocking tends to occur more
 413 often during the extreme positive phase of the summer
 414 NAM than during the extreme negative phase. The proba-
 415 bility reaches a maximum at about 60°N latitude, which is
 416 between the subtropical and polar jet streams during positive
 417 NAM periods (see Figures 6 and 7). In contrast, during the
 418 period of negative NAM, the minimum probability occurs
 419 there. We also compared the zonal mean of the probability
 420 of blocking at 45°N–75°N latitude with the NAM index.
 421 The simultaneous correlation coefficient between the NAM

index and the probability of blocking was 0.50, which is
 422 significant at the 99% level (≈ 0.08) and is greater than the
 423 lead and lag correlation. The correlation was calculated by
 424 using the daily NAM index and the daily value of the zonal
 425 mean of the probability of blocking. This result confirms
 426 that anomalous positive NAM events and blocking occur
 427 simultaneously.

[21] The dependence of blocking on the stages of the
 429 NAM is illustrated in Figures 12 and 13, which show
 430 composite maps of the evolution of the probability of
 431 blocking during each NAM stage. At the beginning of the
 432 development stage, no systematic geographic distribution of
 433 blocking is evident. From day -5 of the development stage,
 434 however, blocking begins to appear over the Atlantic Ocean,
 435 and the frequency of blocking increases with time. Blocking
 436 over Eastern Europe also begins to appear, and both the
 437

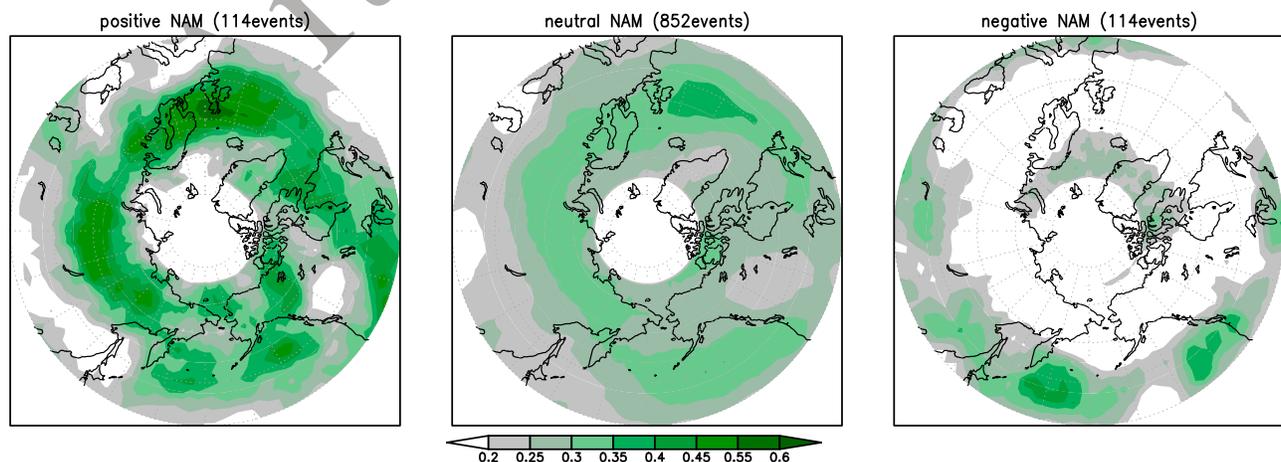


Figure 10. Composite maps of the Northern Hemisphere showing the probability of a blocking high on days with a daily NAM index (left) exceeding 3σ , (middle) between -0.5 and 0.5σ , and (right) less than -3.0σ .

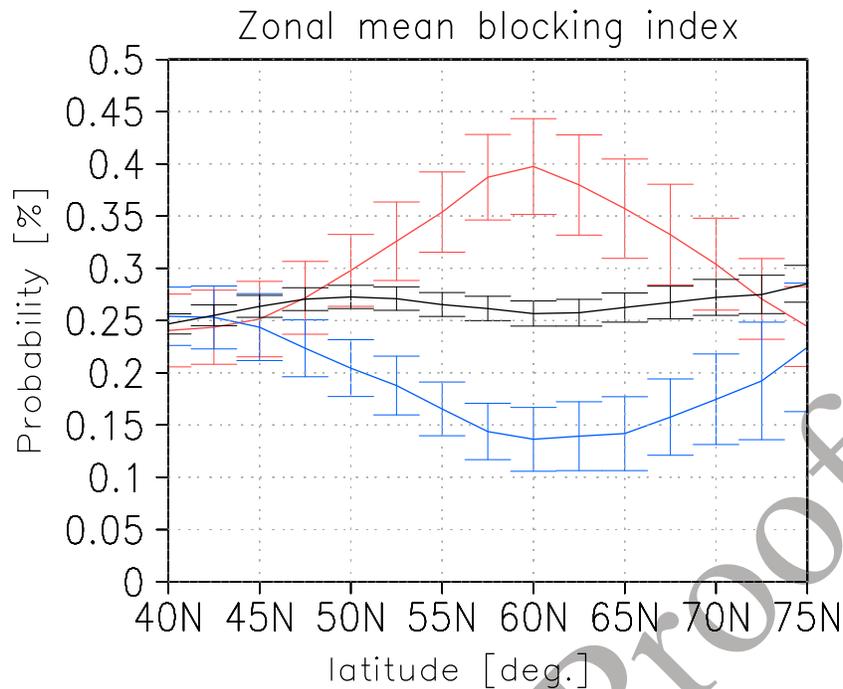


Figure 11. Latitudinal distribution of the zonal mean of the probability of the occurrence of blocking for a daily NAM index of greater than 3σ (red solid line), between -0.5 and 0.5σ (black solid line), and less than -3.0σ (blue solid line). Error bars designate 99% confidence intervals.

438 frequency and area of blocking increase remarkably. The
 439 spatial pattern on day 0 is zonally more asymmetric than that
 440 on days when the standard deviation from the mean of the
 441 NAM index exceeds 3σ (see Figure 10, left). Blocking is
 442 relatively infrequent over far eastern Eurasia during the
 443 development stage. In contrast, during the maintenance
 444 stage the probability of blocking is more zonally symmetric
 445 than during the development stage. The geographic pattern
 446 of blocking during the maintenance stage is similar to the
 447 pattern associated with positive NAM index days (see
 448 Figure 10, left).

449 4. Discussion and Conclusions

450 [22] We examined the relationship between the anomalous
 451 positive phase of the summer NAM and blocking on a time
 452 scale of days. We showed that the anomalous positive NAM
 453 index accounts well for hemispheric-scale anomalous
 454 weather associated with blocking and the double jet stream
 455 structure. In contrast, during periods with a negative NAM
 456 index, no prominent blocking occurs over the continents.
 457 The usefulness of the summer NAM as an indicator of
 458 anomalous summer weather is therefore confirmed. The
 459 greater simultaneous correlation coefficient, compared with
 460 the lead and lag correlation coefficients, between the NAM
 461 index and the probability of blocking signifies that the
 462 anomalous positive NAM and blocking occur simulta-
 463 neously. This finding is in agreement with the results of
 464 Maeda *et al.* [2000], who showed that the double jet stream
 465 tends to cause atmospheric blocking, which stops the east-
 466 ward propagation of cyclones and anticyclones and there-
 467 fore supports long-lasting weather anomalies.

[23] At first glance, the frequent occurrence of blocking in 468
 association with a positive summer NAM seems to contra- 469
 dict the findings of previous studies of the relationship 470
 between blocking and the main modes of atmospheric vari- 471
 ability [e.g., Shabbar *et al.*, 2001; Barriopedro *et al.*, 2006; 472
 Scherrer *et al.*, 2006; Croci-Maspoli *et al.*, 2007]. Indeed, 473
 Thompson and Wallace [2001] showed that extreme weather 474
 associated with blocking tends to occur in the negative 475
 NAM phase. These studies, however, focus on winter con- 476
 ditions, and conditions associated with the summer NAM 477
 are different. The sign of the linkage between blocking and 478
 the NAM (which in winter can be identified with the AO or 479
 the NAO) reverses in summer because in summer the posi- 480
 tive NAM enhances blocking activity. Therefore, this result 481
 is specific to the summer NAM, whereas the situation is 482
 unclear with the conventional modes. Although Thompson 483
 and Wallace [2001] reported that blocking tends to occur 484
 in the negative phase of the conventional NAM, 485
 Barriopedro *et al.* [2006] did not find any significant link- 486
 age between the summer NAO and blocking. The meridional 487
 scale of the summer NAM is smaller than that of the 488
 conventional NAM, and the summer NAM index is an 489
 indicator of a double jet structure, which is present only 490
 when the index is positive, as shown in Figure 1. Neither the 491
 NAO nor the conventional NAM index displays such an on- 492
 off relationship with the double jet, which is another point of 493
 discrepancy between the conventional and the seasonally 494
 varying NAM. We should therefore consider the summer 495
 NAM and the conventional NAM or NAO to reflect dif- 496
 ferent phenomena. 497

[24] We also demonstrated that the evolution of atmo- 498
 spheric patterns and the geographic distribution of blocking 499
 are associated with the evolution of the NAM index. 500

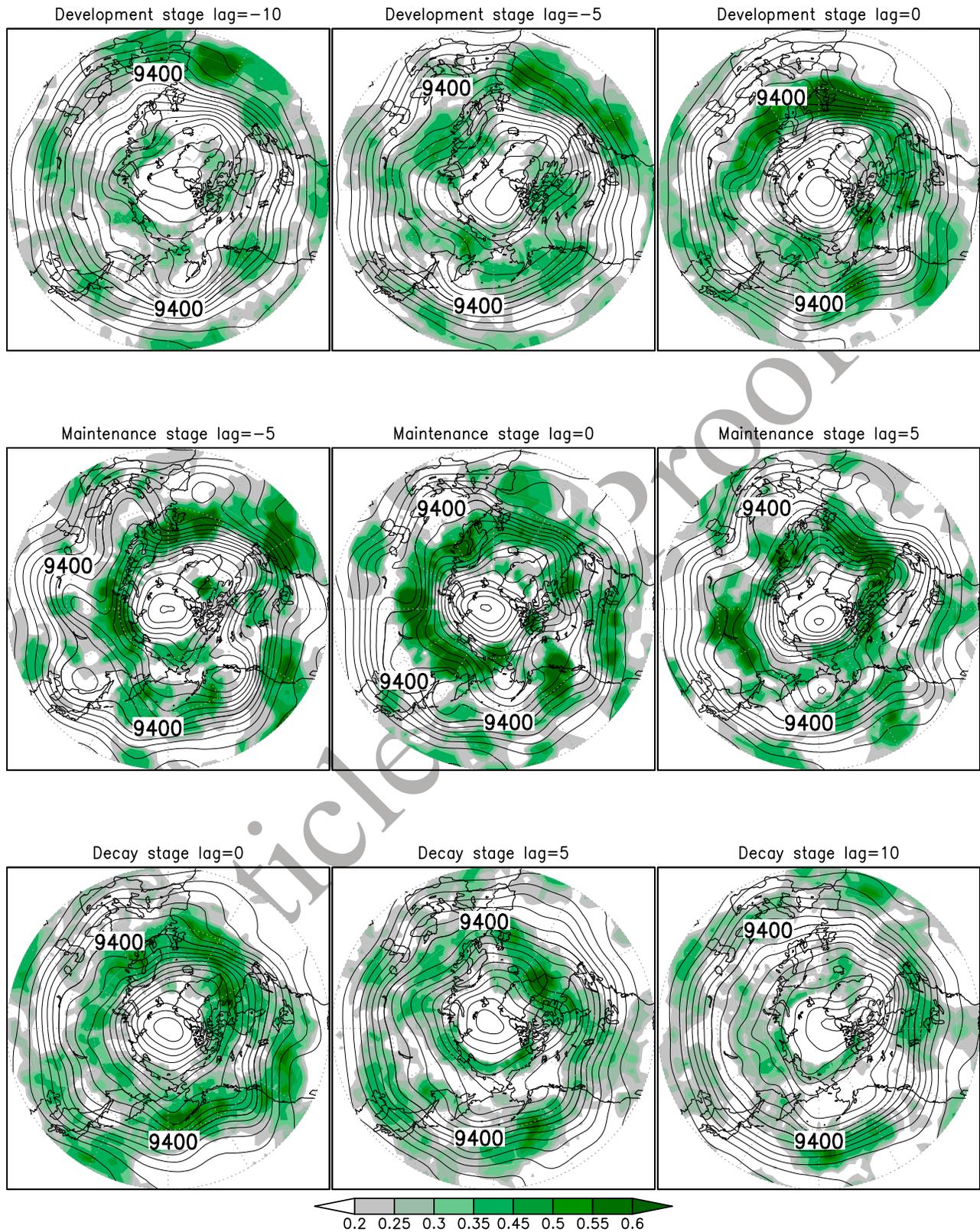


Figure 12. Composite maps of the Northern Hemisphere showing the probability of existence of blocking highs (shading) and geopotential height at the 300-hPa level (contours). (upper panels) Development stage; (middle panels) maintenance stage; (bottom panels) decay stage. Contour intervals are 50 m throughout the figure.

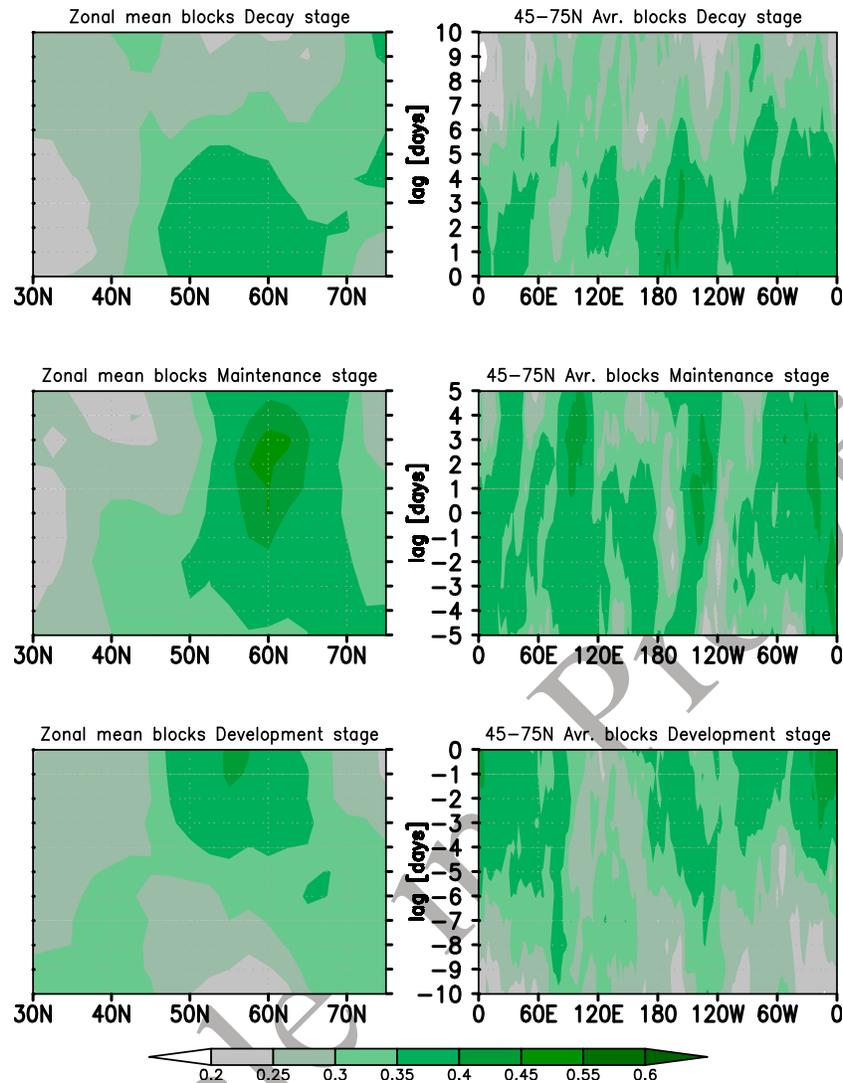


Figure 13. (left panels) Latitude and time (days) composite cross sections showing the zonal mean and (right panels) average within latitudes 45°N–75°N of the probability of existence of blocking highs. (bottom panels) Development stage; (middle panels) maintenance stage; (top panels) decay stage. Evolution of NAM is shown by the progression from the bottom panels to the top panels.

501 Blocking over Europe can be a precursor to an anomalous
 502 positive summer NAM, whereas blocking over the Far East
 503 can precede the end of the anomalous NAM period (see
 504 Figures 12 and 13). During the NAM maintenance stage,
 505 temperatures over Western Europe are anomalously warm,
 506 related to the frequent blocking over Europe during the
 507 development stage. Temperatures over East Asia are
 508 anomalously cool (see the maintenance stage in Figure 5),
 509 concurrent with the frequent blocking over the Far East, a
 510 finding consistent with the observation that anomalously
 511 cool summers in East Asia are usually caused by blocking
 512 over the Okhotsk Sea. Thus, the blocking over Europe
 513 during the development stage is possibly a precursor of cold
 514 weather in East Asia. Blocking over the Urals also brings
 515 cold weather to East Asia and can result from blocking
 516 activity over the Atlantic [Wang *et al.*, 2009]. Both the
 517 blocking and the temperature pattern are similar to those
 518 observed in 2003. The propagation of blocking from the
 519 European sector to the Pacific sector was actually observed

from the middle of July to the beginning of August in 2003 520
 (data not shown). When the anomalous NAM pattern starts 521
 to weaken, blocking tends to occur over the Pacific. The key 522
 areas for understanding the development and decay stages of 523
 the NAM are therefore Europe and the Pacific region. 524
 [25] The dependence of the geographic distribution of 525
 blocking on NAM stages may regulate the strength of 526
 dynamic wave-mean flow interactions. Because the evolu- 527
 tion of the NAM corresponds well to the evolution of the 528
 double jet structure (Figure 7), we infer that the geographic 529
 location of the blocking high is important for determining 530
 the directions of the wave-mean flow interactions, as shown 531
 by the different patterns of the EP flux (Figure 6). The 532
 longitudinal distribution of the wave-activity flux (Figure 5) 533
 is in agreement with this transition of the geographic loca- 534
 tion. Large wave-activity areas also tend to move eastward 535
 from the Atlantic region in the development stage through 536
 the Eurasian continent to the Pacific region in the decay 537
 stage. In addition to blocking other disturbances may con- 538

539 tribute to a waveguide pattern propagating along the Arctic
540 front. In fact, blocking highs tend to occur over the Eurasian
541 continent during the positive NAM phase, which can be
542 attributed to the large poleward temperature gradient from
543 the hot Eurasian continent to the cold Arctic (see Figure 9),
544 and storm-track activity along the Arctic coast is also strong
545 [e.g., Serreze *et al.*, 2001]. The storm-track activity may
546 also contribute to the strengthening of the polar jet stream
547 and to the blocking. The aim of this study, however, was to
548 describe only the large-scale atmospheric structures related
549 to the development, maintenance, and decay stages of the
550 summer NAM. Our results show that further study of
551 atmospheric dynamics such as wave-mean flow interactions
552 associated with the geographic distribution of blocking
553 highs, taking into consideration the influence of the Arctic
554 storm track, should be the next step in gaining an under-
555 standing of the mechanisms of the evolution of the summer
556 NAM.

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